

# **Chord: A Scalable Peer-to-peer Lookup Protocol for Internet Applications**

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# Recap

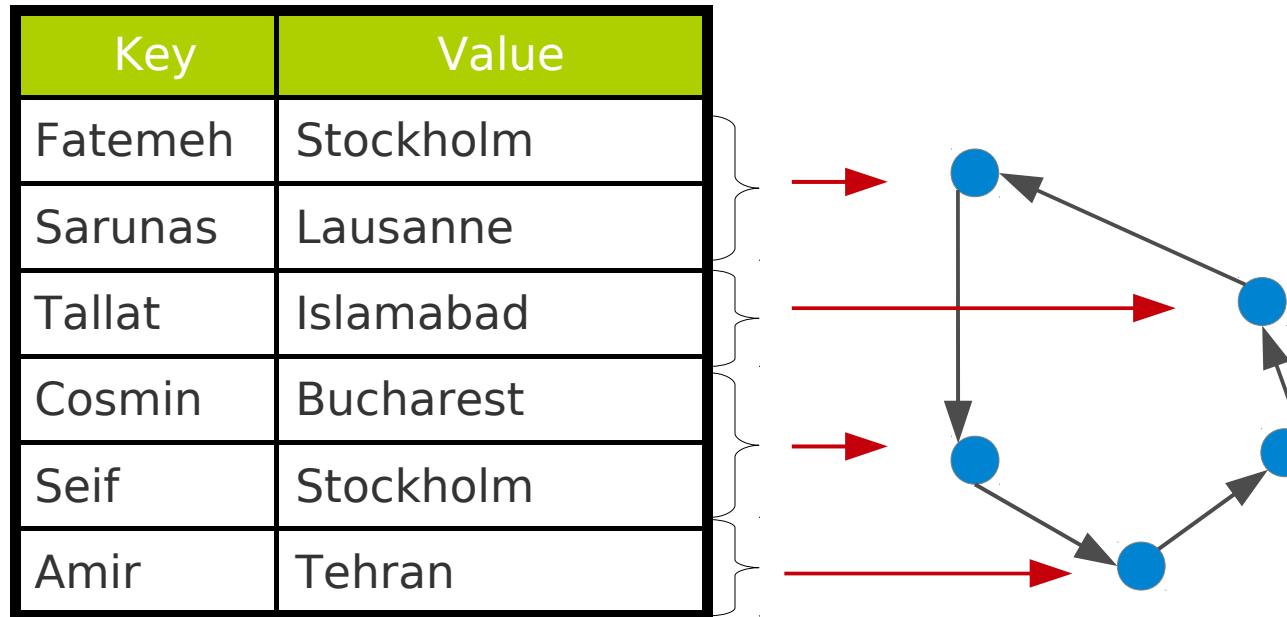
# Distributed Hash Tables (DHT)

- An ordinary hash-table, which is ...

Key	Value
Fatemeh	Stockholm
Sarunas	Lausanne
Tallat	Islamabad
Cosmin	Bucharest
Seif	Stockholm
Amir	Tehran

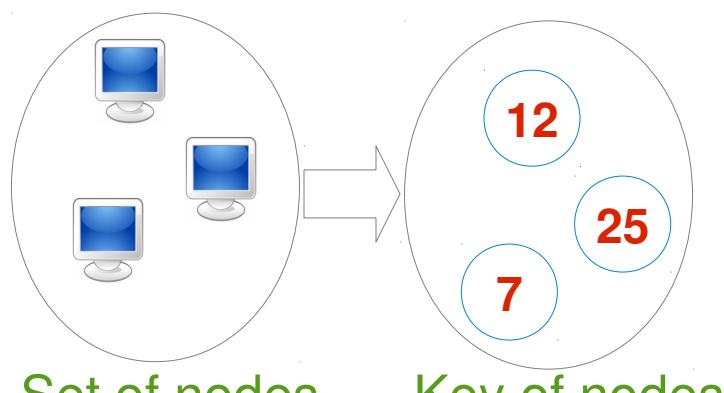
# Distributed Hash Tables (DHT)

- An ordinary hash-table, which is **distributed**.

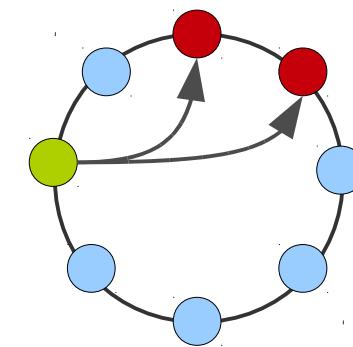


# Distributed Hash Tables (DHT)

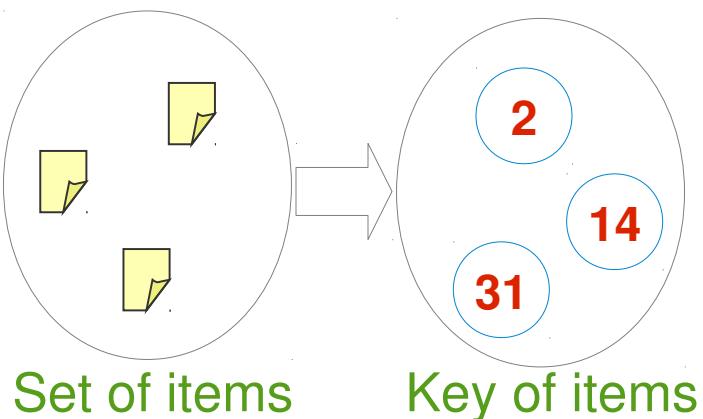
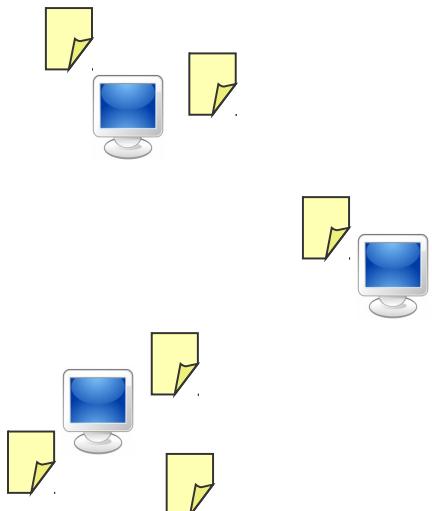
1 Decides on common **key space** for nodes and values



2 connects the nodes smartly



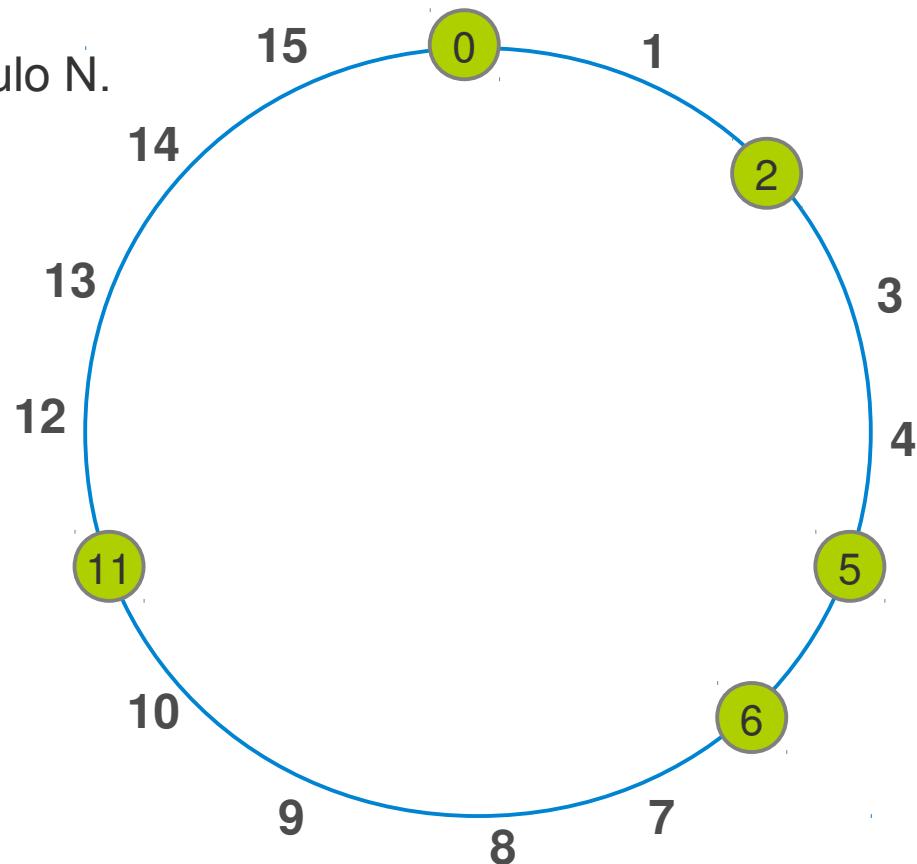
3 Make a strategy for assigning items to nodes



# Chord an Example of DHT

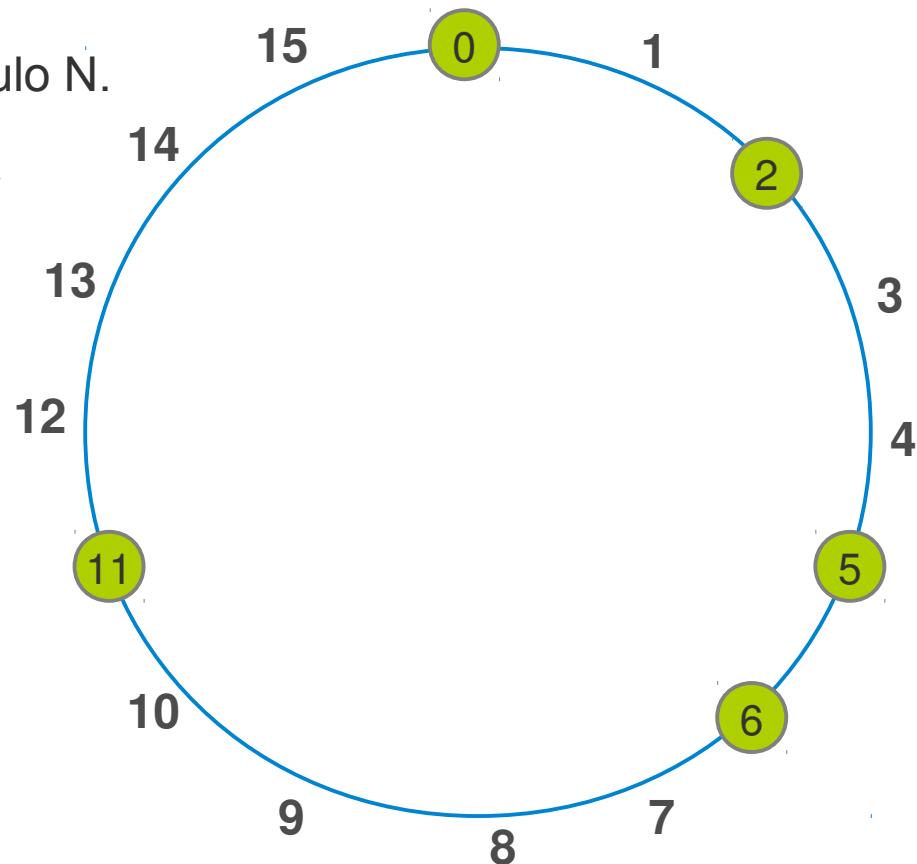
# How to Construct a DHT (Chord)?

- Use a **logical name space**, called the **identifier space**, consisting of identifiers  $\{0, 1, 2, \dots, N-1\}$
- Identifier space is a **logical ring** modulo  $N$ .



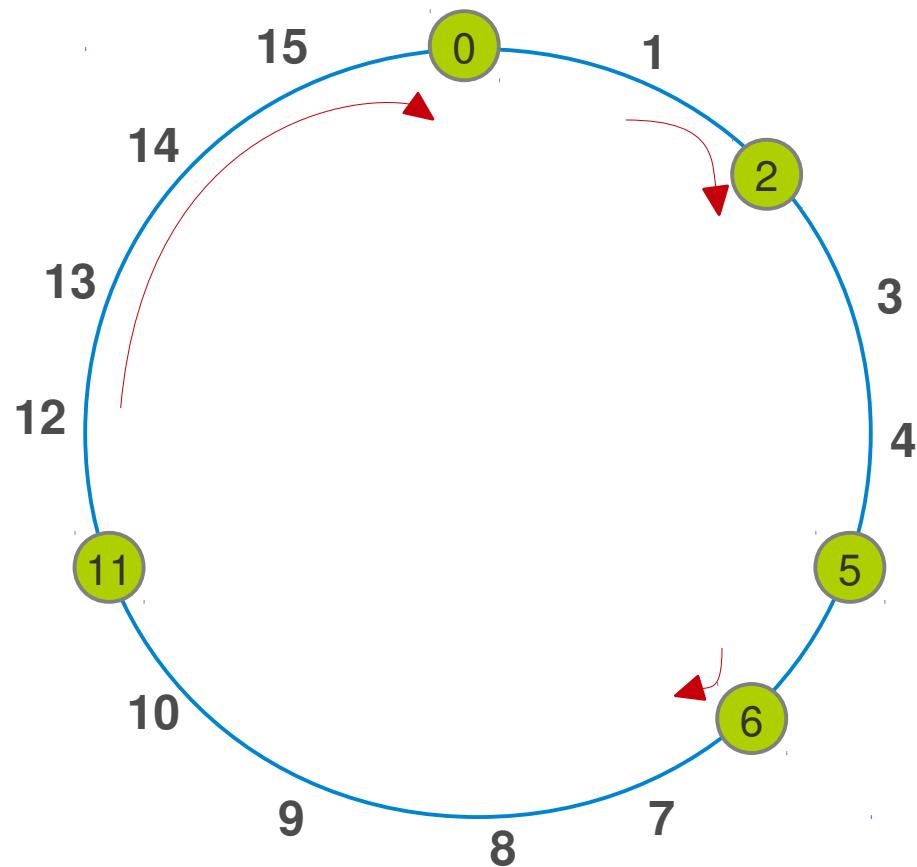
# How to Construct a DHT (Chord)?

- Use a **logical name space**, called the **identifier space**, consisting of identifiers  $\{0,1,2,\dots, N-1\}$
- Identifier space is a **logical ring** modulo  $N$ .
- Every node picks a random identifier through Hash  $H$ .
- Example:
  - Space  $N=16 \{0,\dots,15\}$
  - Five nodes a, b, c, d, e
  - $H(a) = 6$
  - $H(b) = 5$
  - $H(c) = 0$
  - $H(d) = 11$
  - $H(e) = 2$



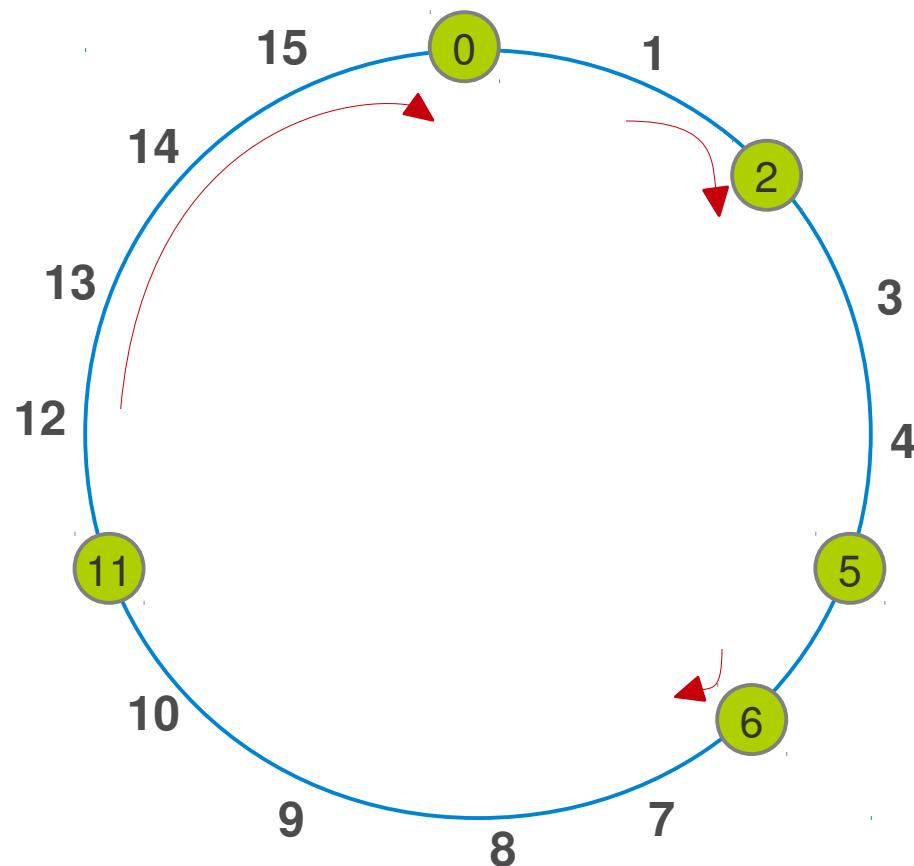
# Successor ...

- The **successor** of an identifier is the first node met going in **clockwise direction** starting at the identifier.



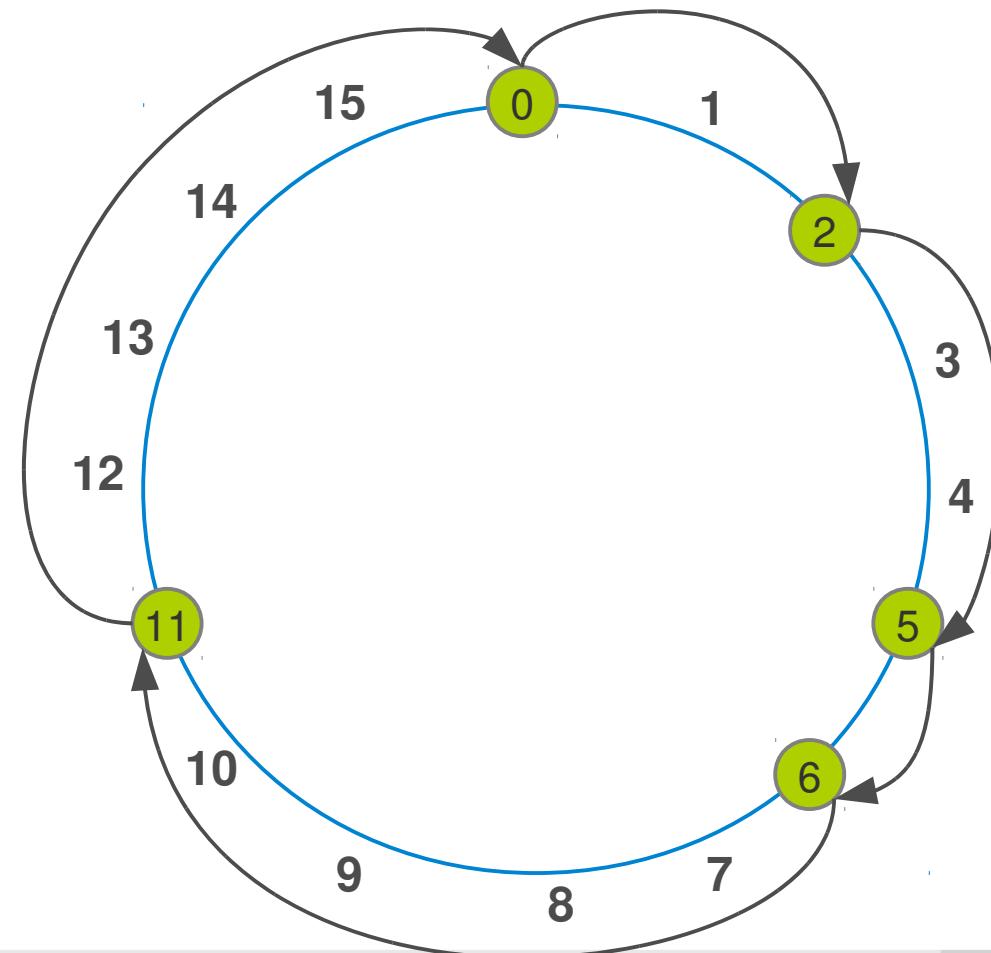
## Successor ...

- The **successor** of an identifier is the first node met going in **clockwise direction** starting at the identifier.
- succ(x)**: is the first node on the ring with id greater than or equal x.
  - Succ(12) = 0
  - Succ(1) = 2
  - Succ(6) = 6



# Connect the Nodes

- Each node points to its successor.
  - The successor of a node  $n$  is  $\text{succ}(n+1)$ .
  - 0's successor is  $\text{succ}(1) = 2$
  - 2's successor is  $\text{succ}(3) = 5$
  - 5's successor is  $\text{succ}(6) = 6$
  - 6's successor is  $\text{succ}(7) = 11$
  - 11's successor is  $\text{succ}(12) = 0$

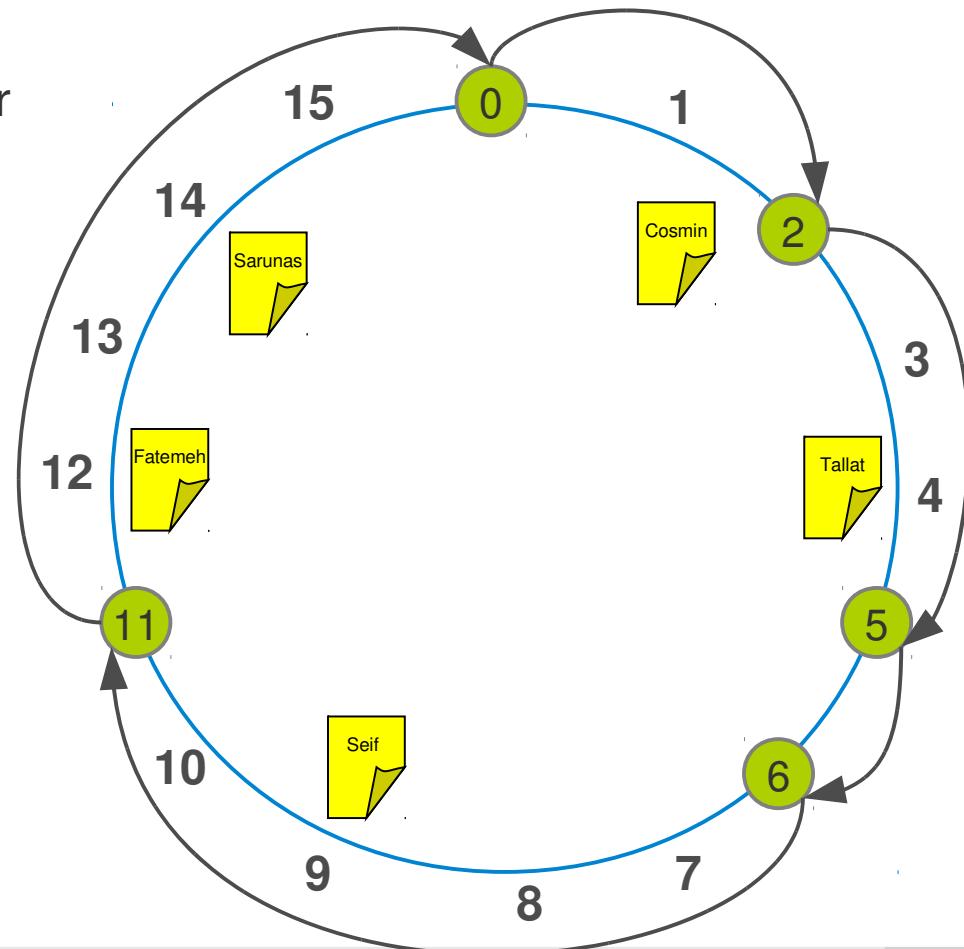


# Where to Store Data?

- Use globally known hash function,  $H$ .
- Each item  $\langle \text{key}, \text{value} \rangle$  gets identifier  
 $H(\text{key}) = k$ .
  - $H(\text{"Fatemeh"}) = 12$
  - $H(\text{"Cosmin"}) = 2$
  - $H(\text{"Seif"}) = 9$
  - $H(\text{"Sarunas"}) = 14$
  - $H(\text{"Tallat"}) = 4$

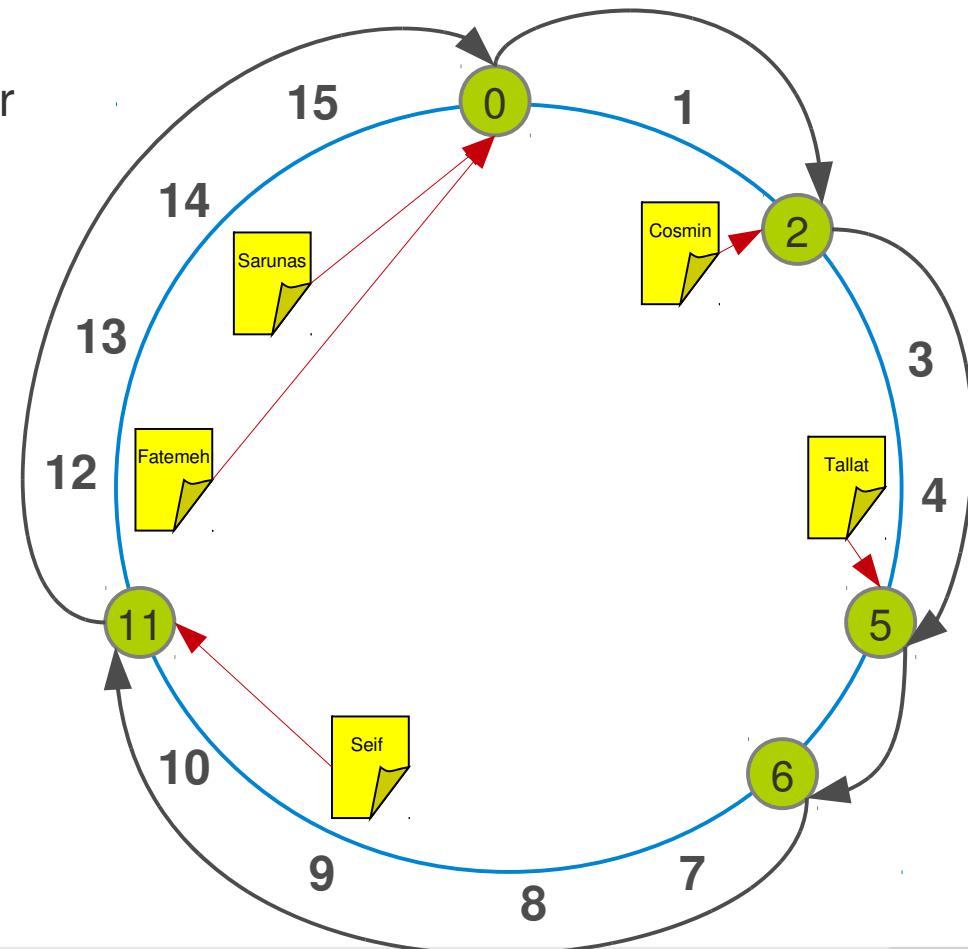
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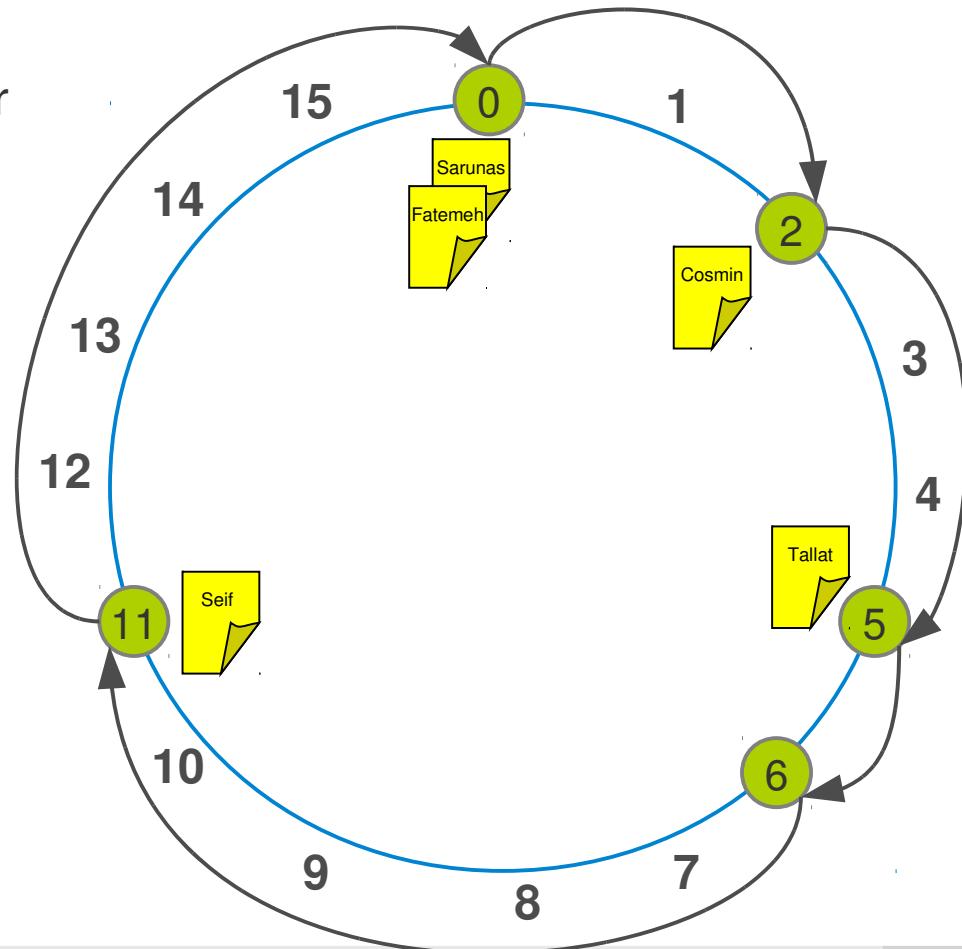
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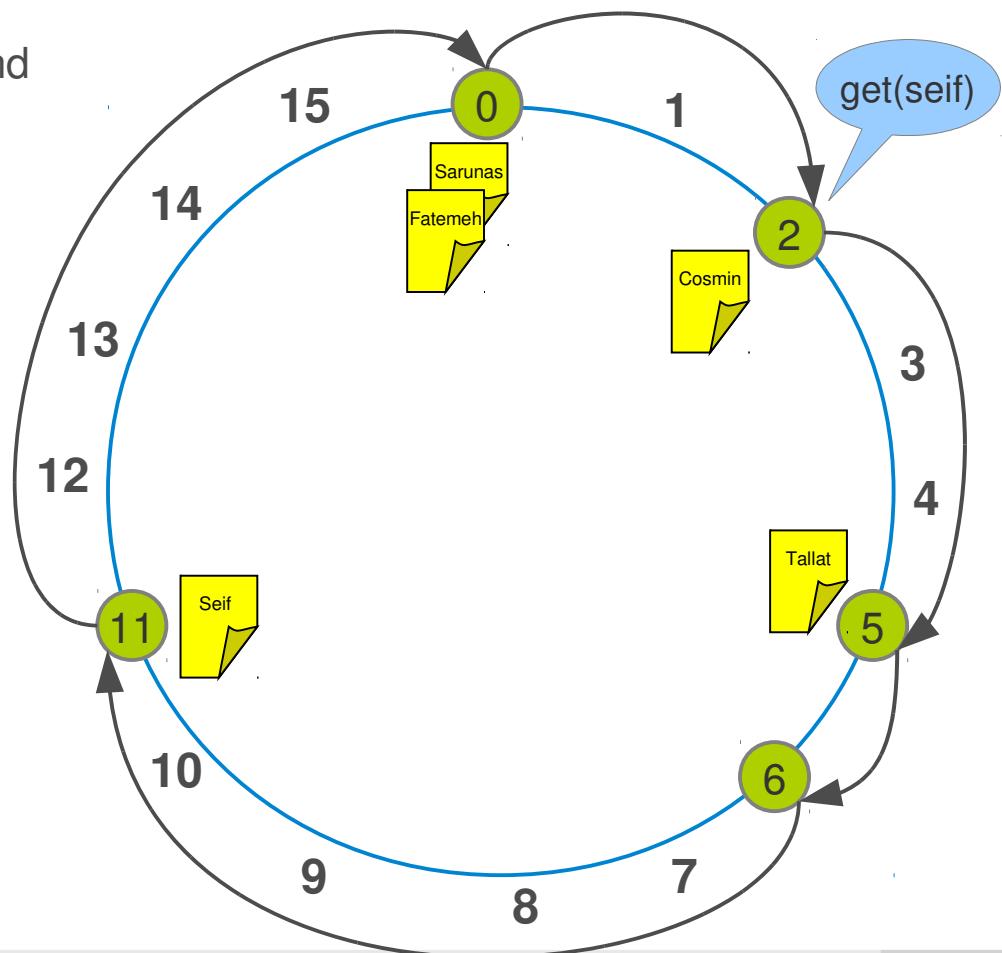


# Lookup?



# Lookup?

- To lookup a key  $k$ 
  - Calculate  $H(k)$
  - Follow succ pointers until item  $k$  is found

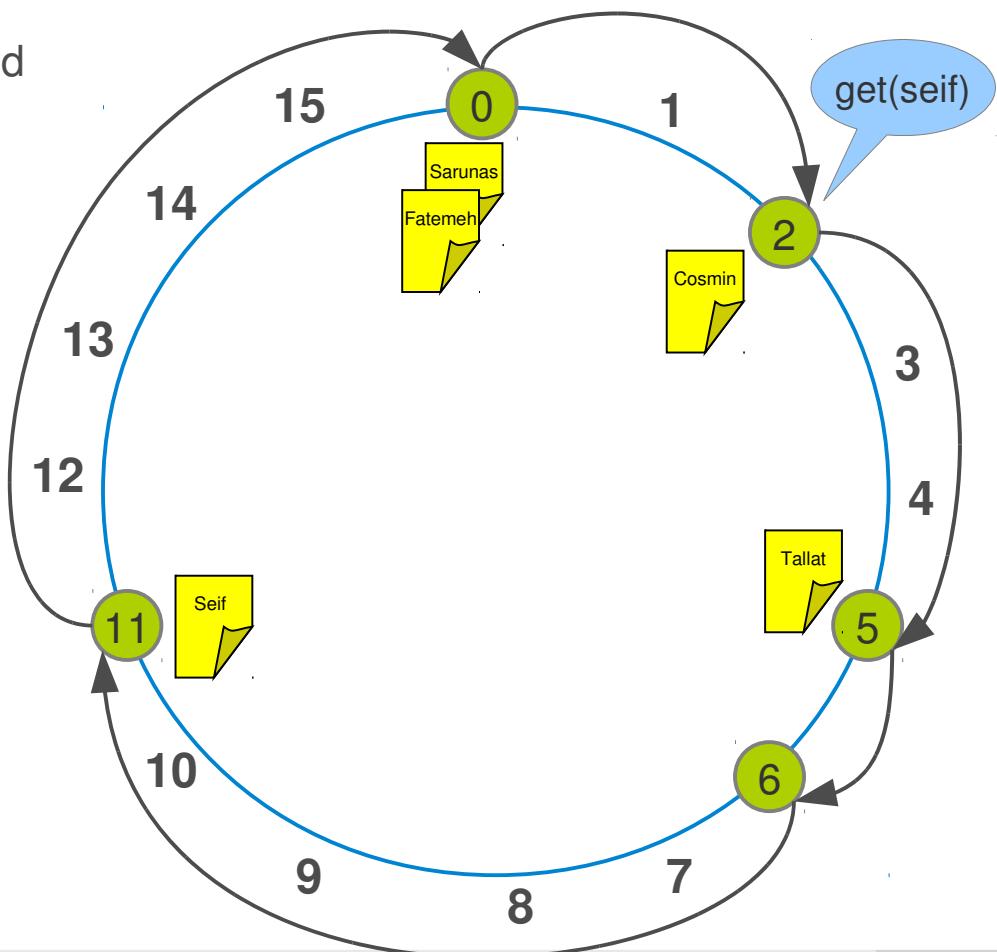


# Lookup?

- To lookup a key  $k$ 
  - Calculate  $H(k)$
  - Follow succ pointers until item  $k$  is found

- Example
  - Lookup "Seif" at node 2
  - $H("Seif")=9$
  - Traverse nodes:
    - 2, 5, 6, 11 (BINGO)
  - Return "Stockholm" to initiator

Key	Value
Seif	Stockholm



# Lookup?

```
// ask node n to find the successor of id
procedure n.findSuccessor(id) {
    if (predecessor ≠ nil and id ∈ (predecessor, n]) then return n
    else if (id ∈ (n, successor]) then
        return successor
    else // forward the query around the circle
        return successor.findSuccessor(id)
}
```

- (a, b] the segment of the ring moving clockwise from but not including a until and including b.
- n.foo(.) denotes an RPC of foo(.) to node n.
- n.bar denotes and RPC to fetch the value of the variable bar in node n.

# Put and Get

```
procedure n.put(id, value) {  
    s = findSuccessor(id)  
    s.store(id, value)  
}
```

```
procedure n.get(id) {  
    s = findSuccessor(id)  
    return s.retrieve(id)  
}
```

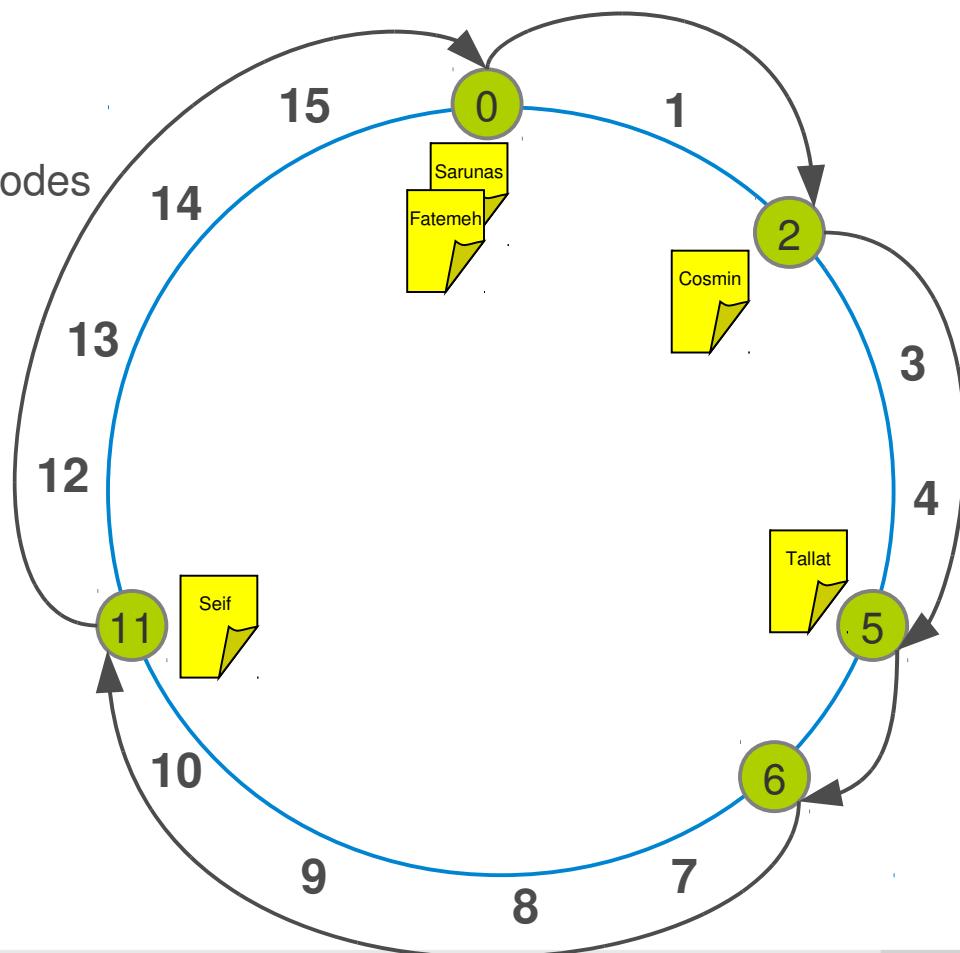
- PUT and GET are nothing but lookups!!

# Any improvement?



# Improvement

- Any improvement?
  - Speeding up lookups
- If only pointer to `succ(n+1)` is used
  - Worst case lookup time is N, for N nodes

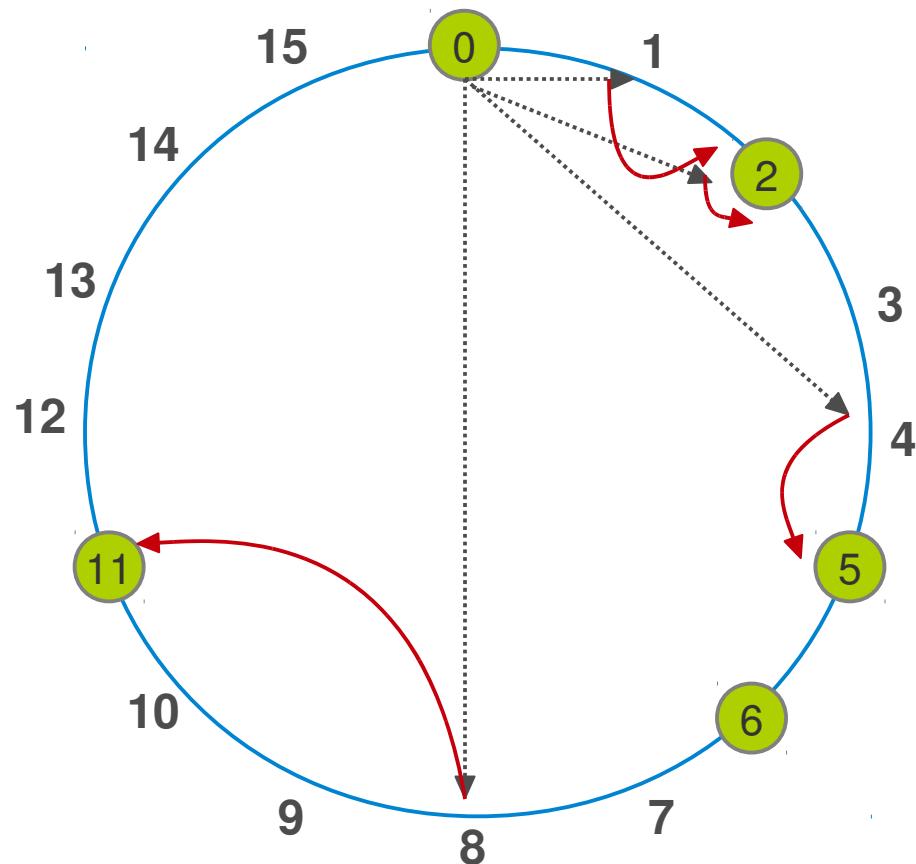


# Speeding up Lookups

- Finger/routing table:

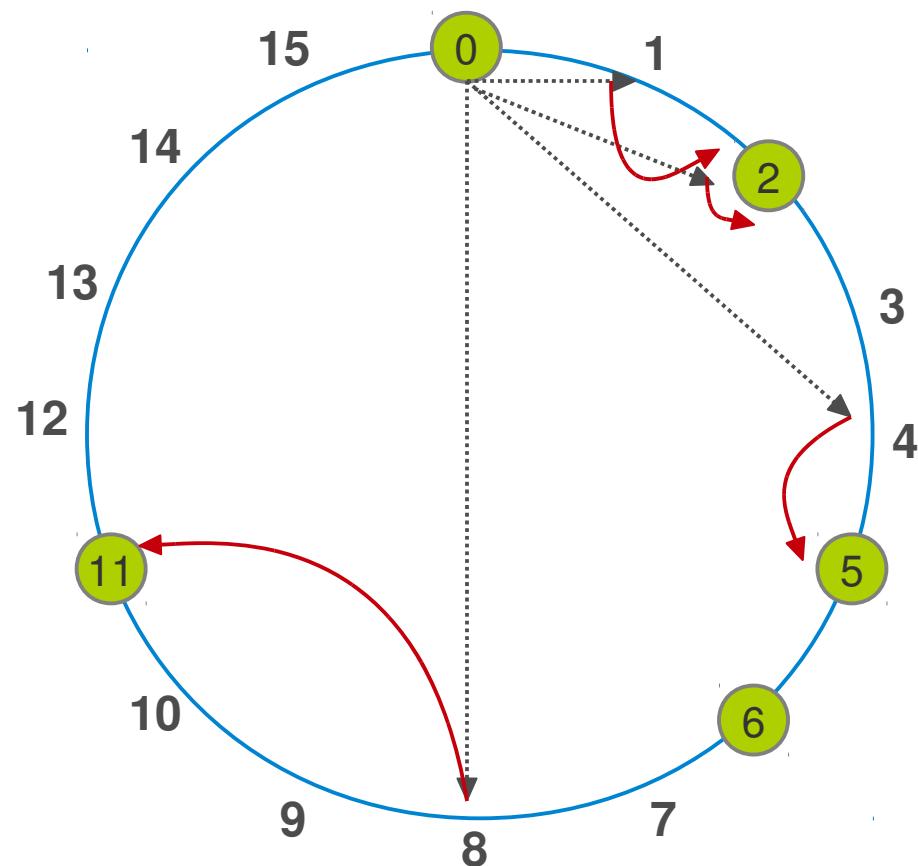
- Point to  $\text{succ}(n+1)$
- Point to  $\text{succ}(n+2)$
- Point to  $\text{succ}(n+4)$
- Point to  $\text{succ}(n+8)$
- ...
- Point to  $\text{succ}(n+2^{M-1})$

- Distance always **halved** to the destination.



# Speeding up Lookups

- Size of routing tables is **logarithmic**.:
  - Routing table size:  $M$ , where  $N = 2^M$ .
- Every node  $n$  knows  
 $\text{successor}(n + 2^{(i-1)})$   
for  $i = 1 \dots M$
- Routing entries =  $\log_2(N)$ 
  - $\log_2(N)$  hops from any node to any other node
- Example:  $\log_2(1000000) \approx 20$



# DHT Lookup

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**closestPrecedingNode(id)**

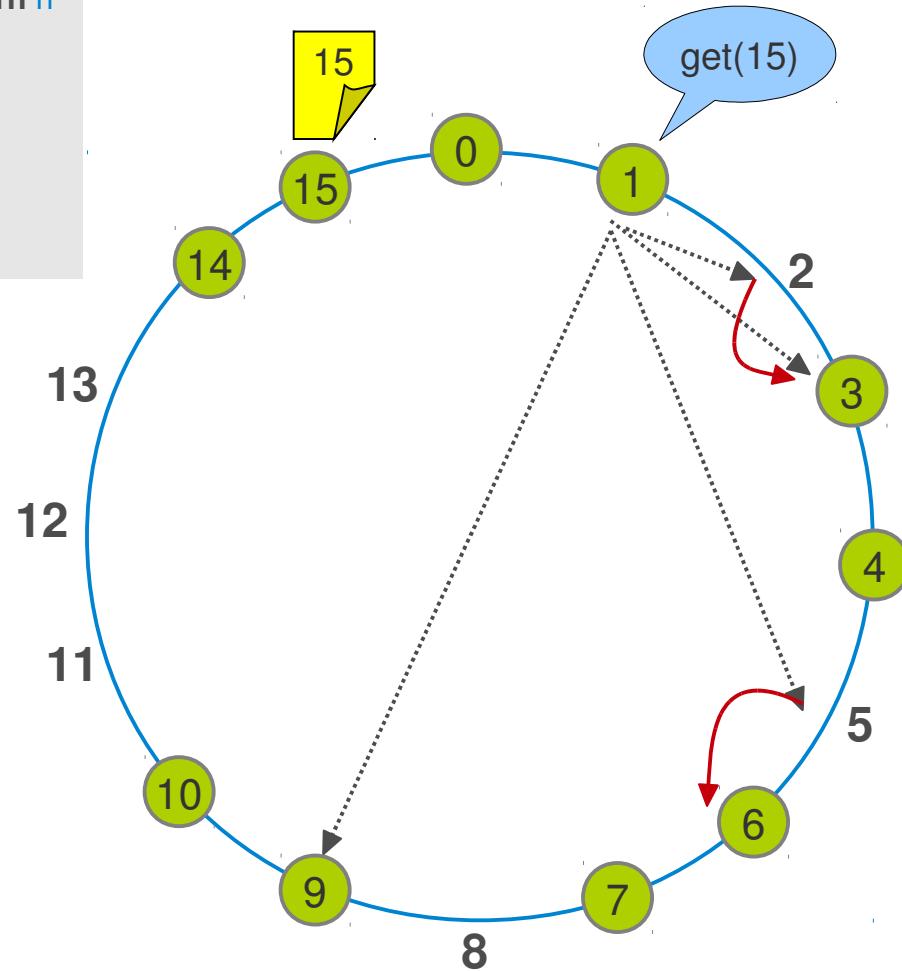
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        return successor
    else { // forward the query around the circle
        m := closestPrecedingNode(id)
        return m.findSuccessor(id)
    }
}
```

```
// search locally for the highest predecessor of id
procedure closestPrecedingNode(id) {
    for i = m downto 1 do {
        if (finger[i] ∈ (n, id)) then
            return finger[i]
    }
    return n
}
```

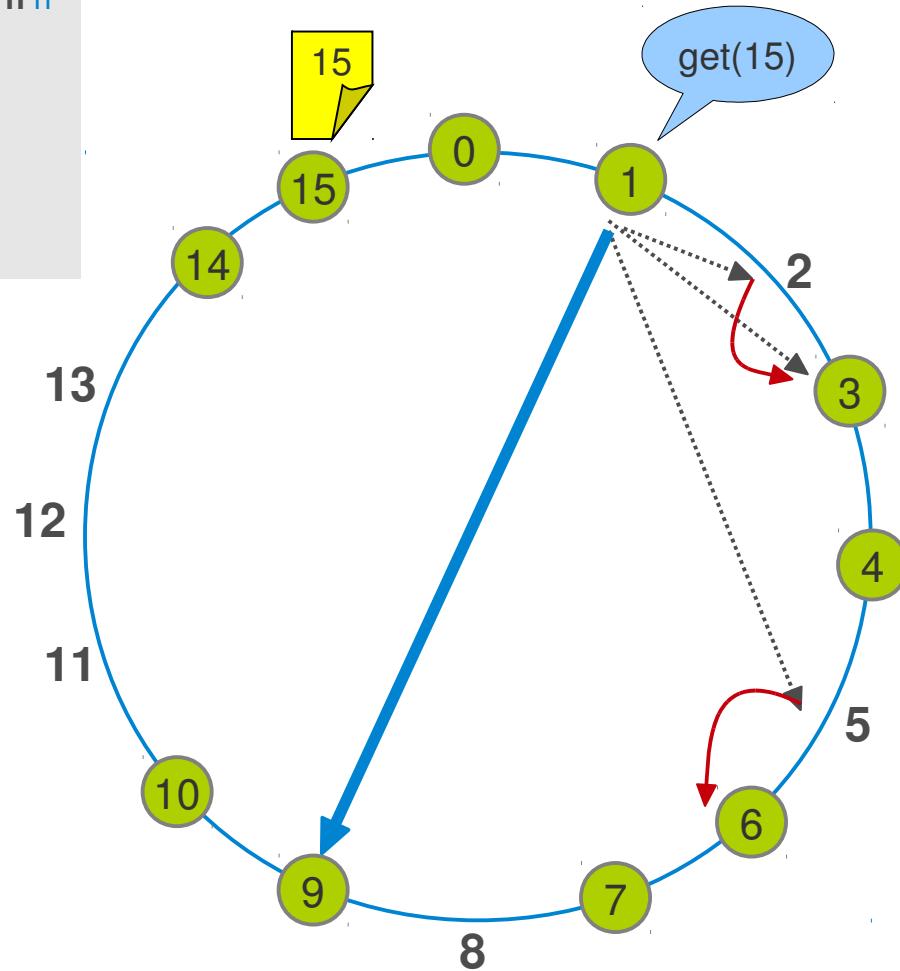
# Chord – Lookup (1/4)

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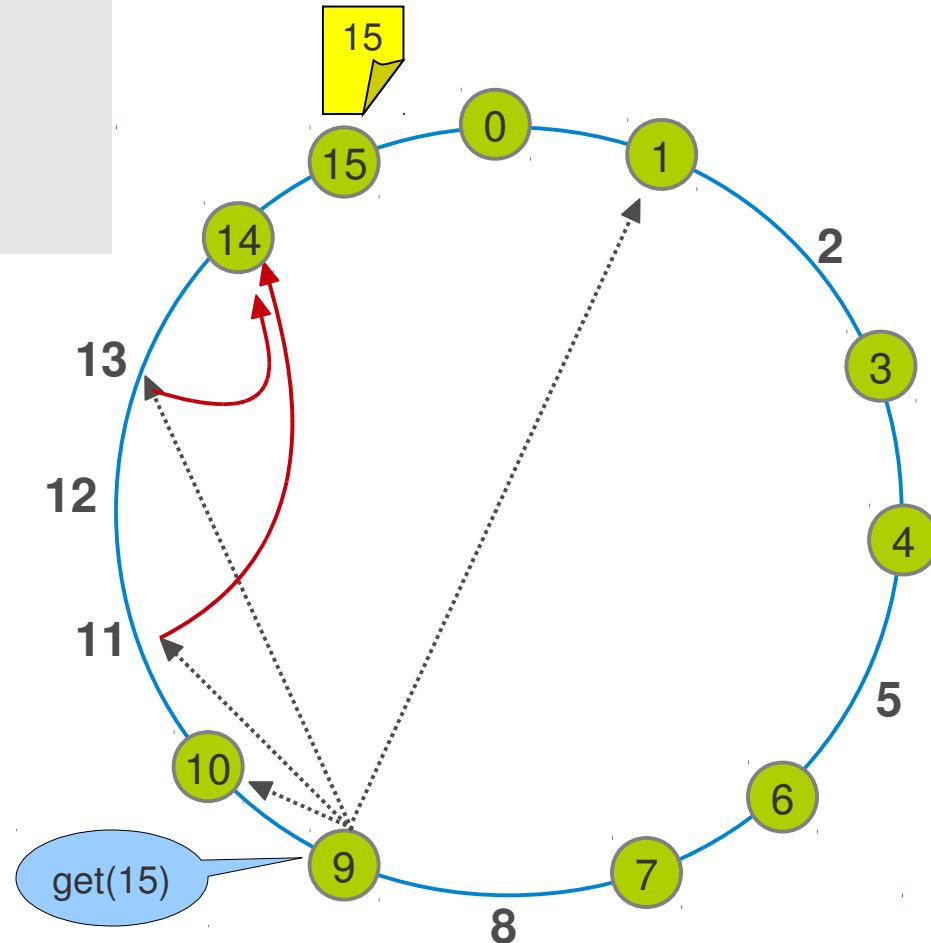
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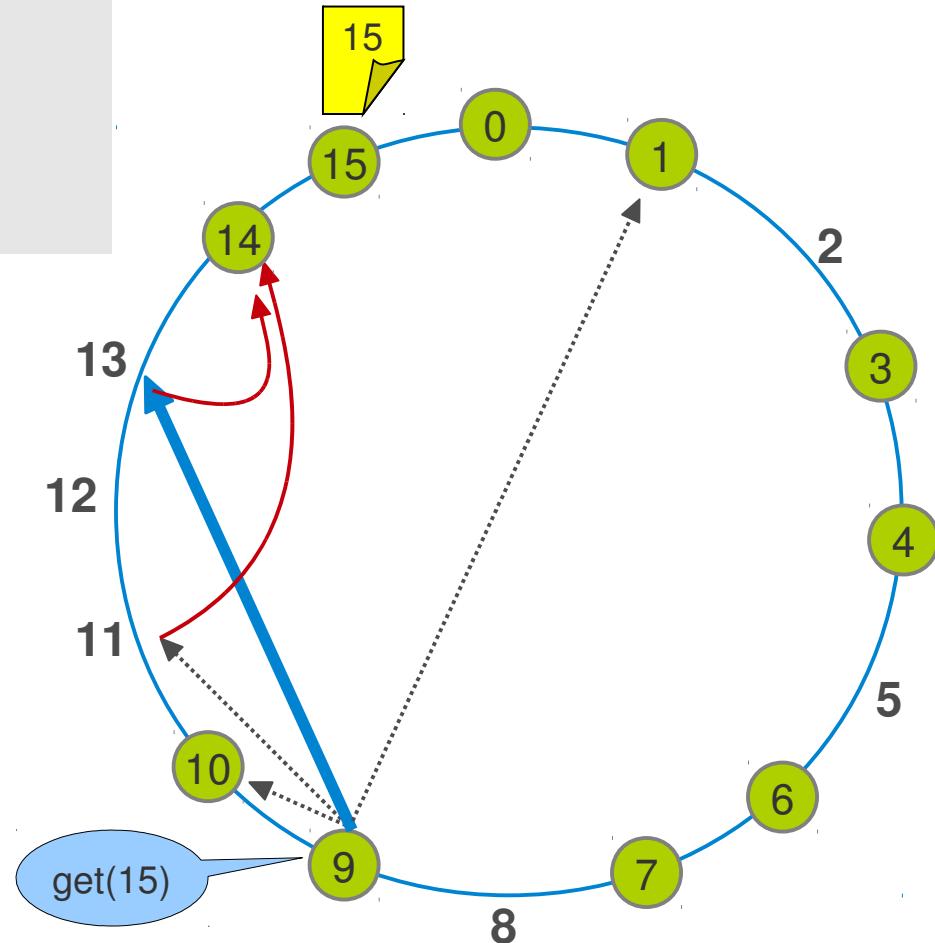
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}
```



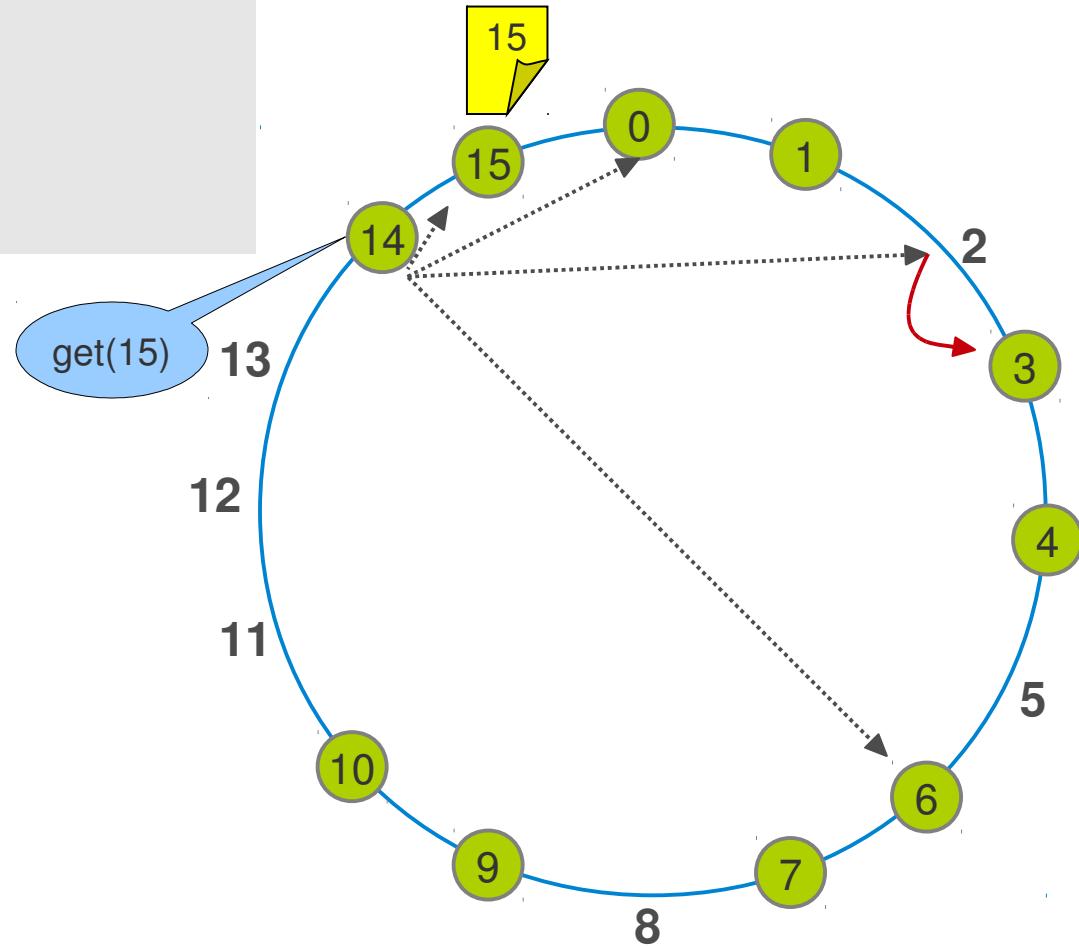
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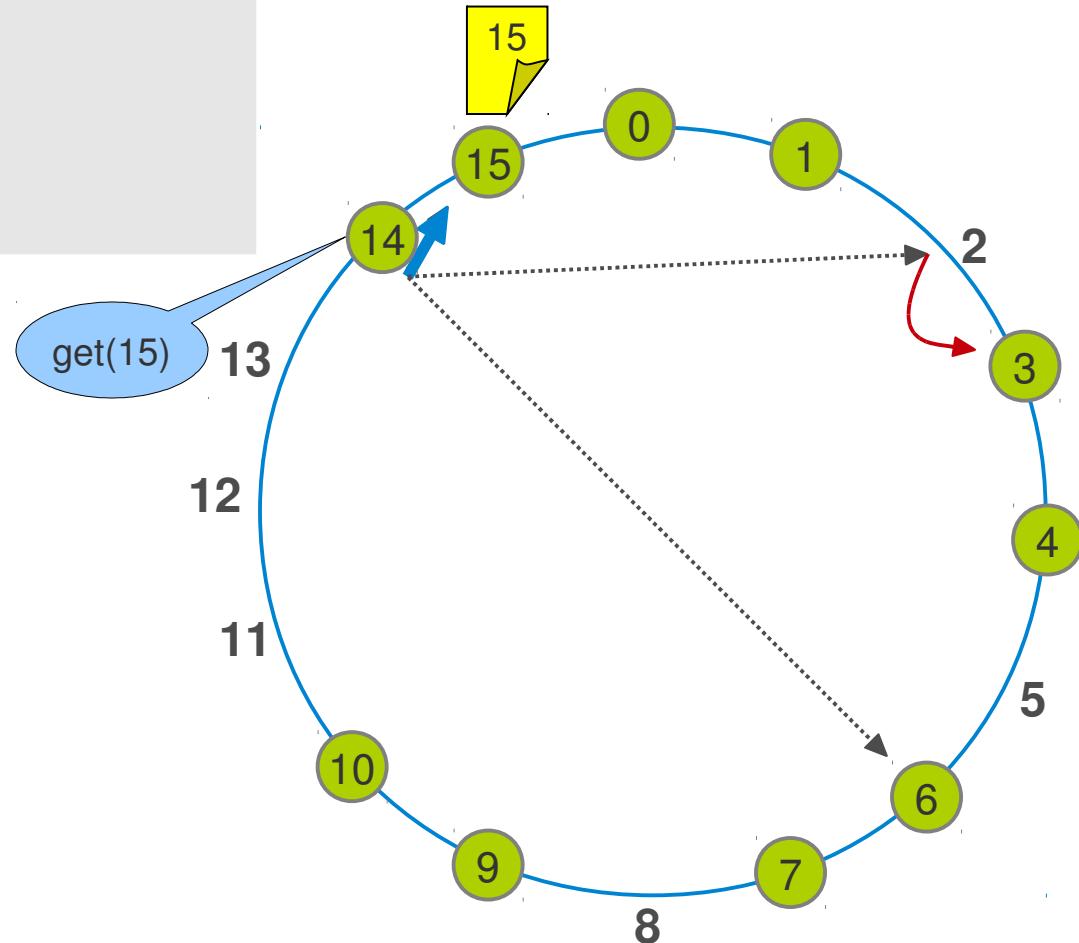
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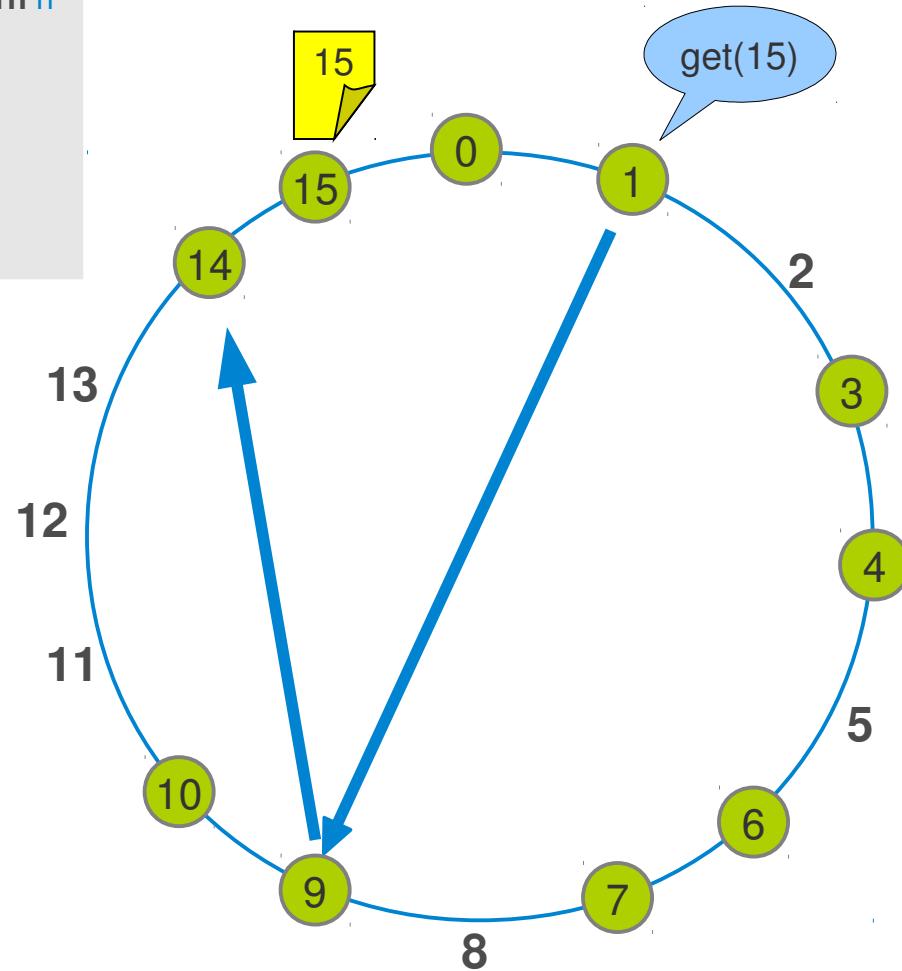
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}
```



# Chord – Lookup (4/4)

```
procedure n.findSuccessor(id) {
    if (predecessor ≠ nil and id ∈ (predecessor, n]) then return n
    else if (id ∈ (n, successor]) then
        return successor
    else { // forward the query around the circle
        m := closestPrecedingNode(id)
        return m.findSuccessor(id)
    }
}
```



# Discussion

---

- We are basically done.
- But ...
- What about **joins** and **failures/leaves**?
  - Nodes come and go as they wish.
- What about data?
  - Should I lose my doc because some kid decided to shut down his machine and he happened to store my file?
- So actually we just started ...

# Handling Dynamism? Ring Maintenance?

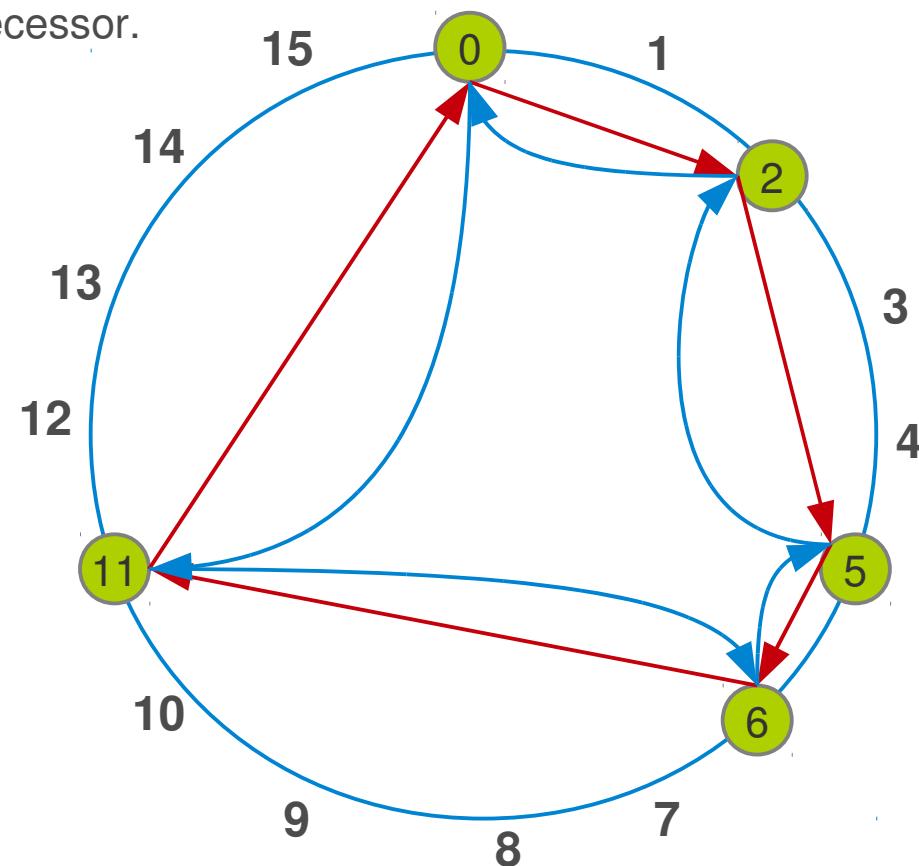


# Handling Dynamism - Ring Maintenance

- Everything depends on **successor pointers**.
- In Chord, in addition to the successor pointer, every node has a **predecessor pointer** as well for ring maintenance.
  - Predecessor of node **n** is the first node met in **anti-clockwise** direction starting at **n-1**.

# Handling Dynamism - Ring Maintenance

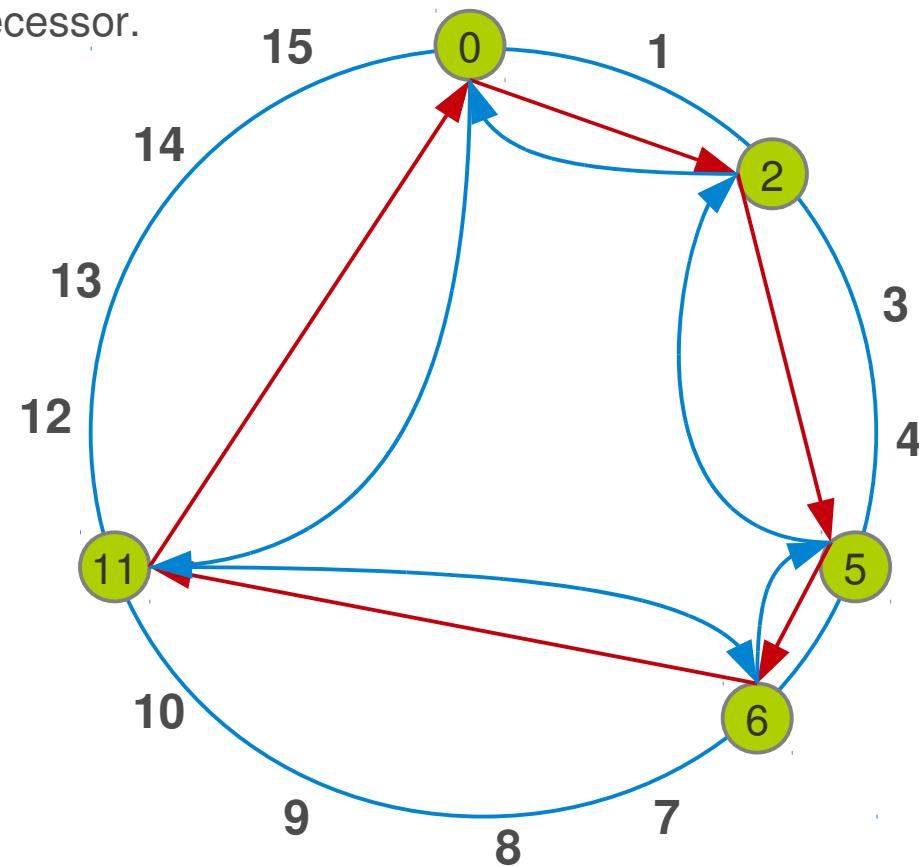
- Periodic stabilization is used to make pointers eventually correct.
  - Try pointing `succ` to closest alive successor.
  - Try pointing `pred` to closest alive predecessor.



# Handling Dynamism - Ring Maintenance

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```
// Periodically at n:  
v := succ.pred  
if (v ≠ nil and v ∈ (n,succ]) then  
    set succ := v  
send a notify(n) to succ
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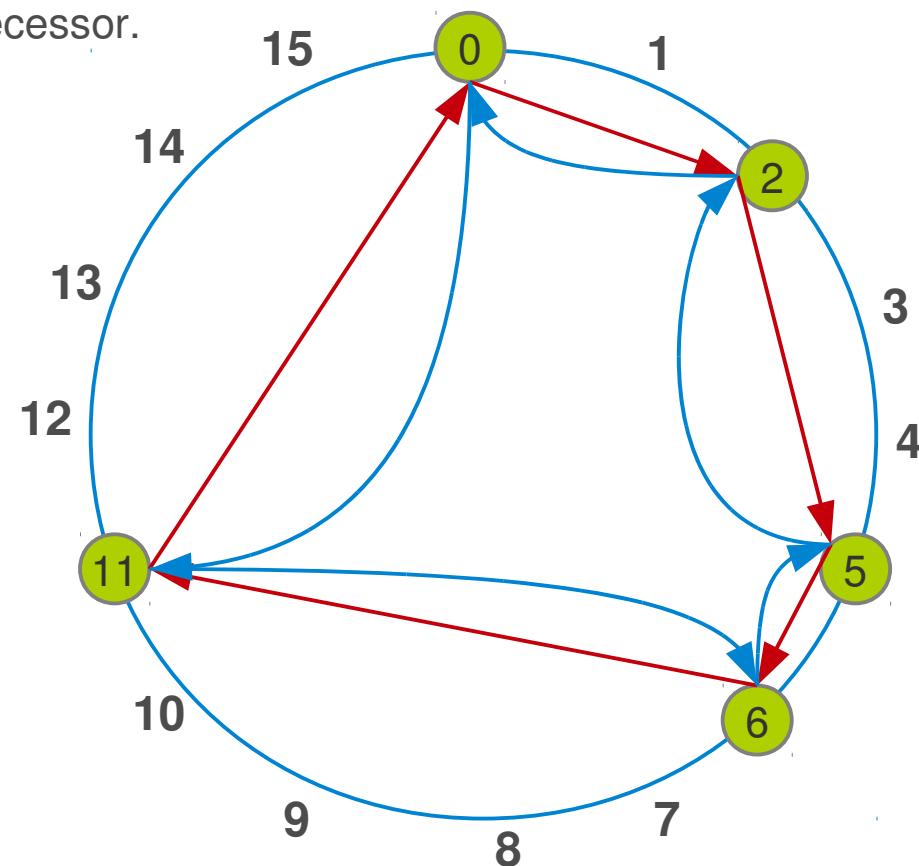


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// When receiving notify(p) at n:  
if (pred = nil or p ∈ (pred, n]) then  
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# Handling Join?



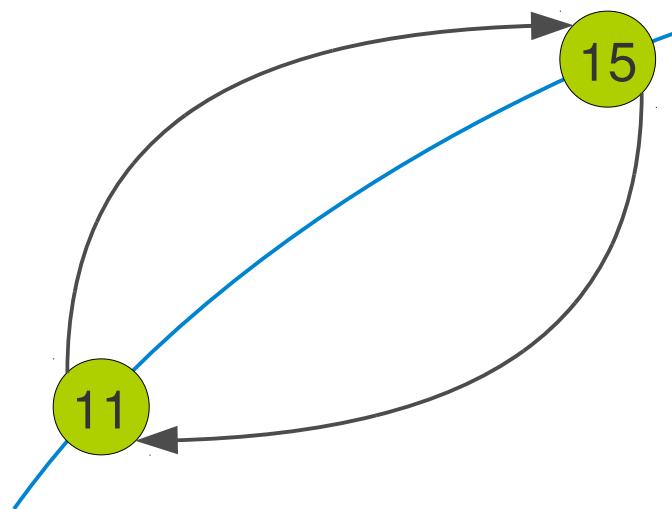
# Chord – Handling Join (1/5)

- When  $n$  joins:

- Find  $n$ 's successor with  $\text{lookup}(n)$
- Set succ to  $n$ 's successor
- Stabilization fixes the rest

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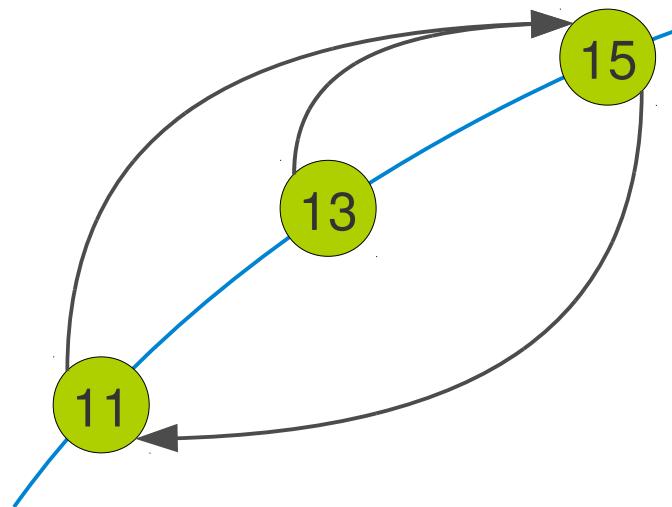
## Chord – Handling Join (2/5)

- When  $n$  joins:

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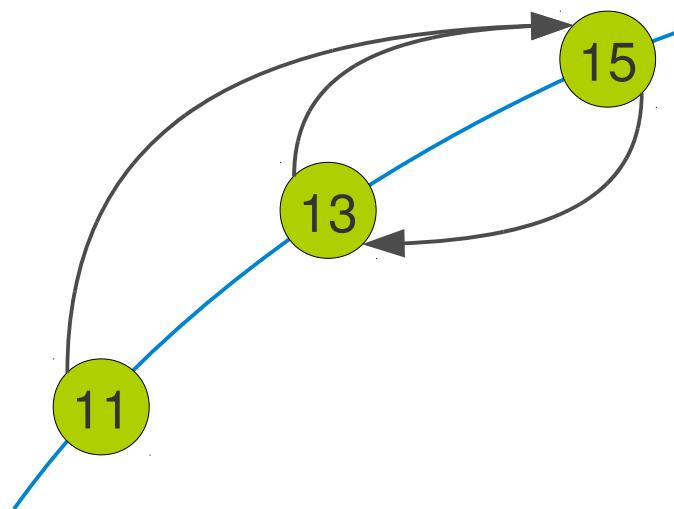
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- When  $n$  joins:

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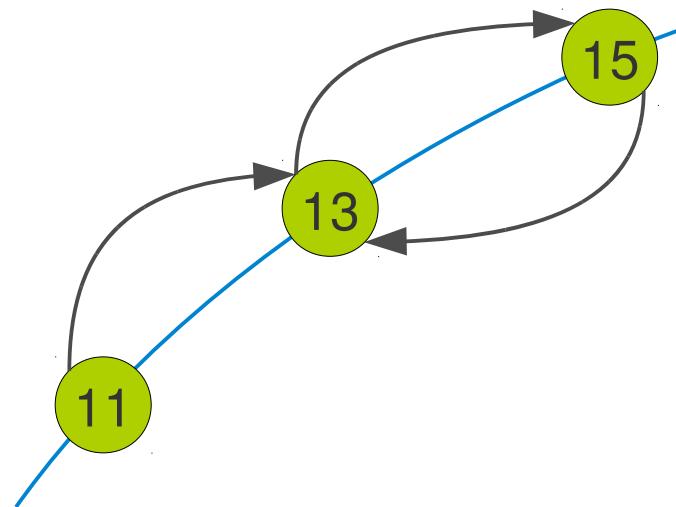


## Chord – Handling Join (4/5)

- When  $n$  joins:

- Find  $n$ 's successor with  $\text{lookup}(n)$
- Set succ to  $n$ 's successor
- Stabilization fixes the rest

```
// Periodically at n:  
v := succ.pred  
if (v ≠ nil and v ∈ (n,succ]) then  
    set succ := v  
send a notify(n) to succ
```



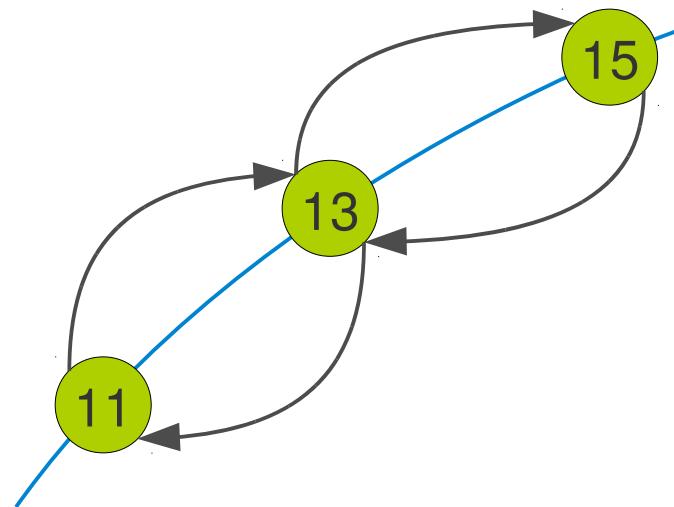
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// When receiving notify(p) at n:  
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```

# Chord – Handling Join (5/5)

- When  $n$  joins:

- Find  $n$ 's successor with  $\text{lookup}(n)$
- Set succ to  $n$ 's successor
- Stabilization fixes the rest

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// Periodically at n:  
v := succ.pred  
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    set succ := v  
send a notify(n) to succ
```



```
// When receiving notify(p) at n:  
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```

# Fix Fingers?



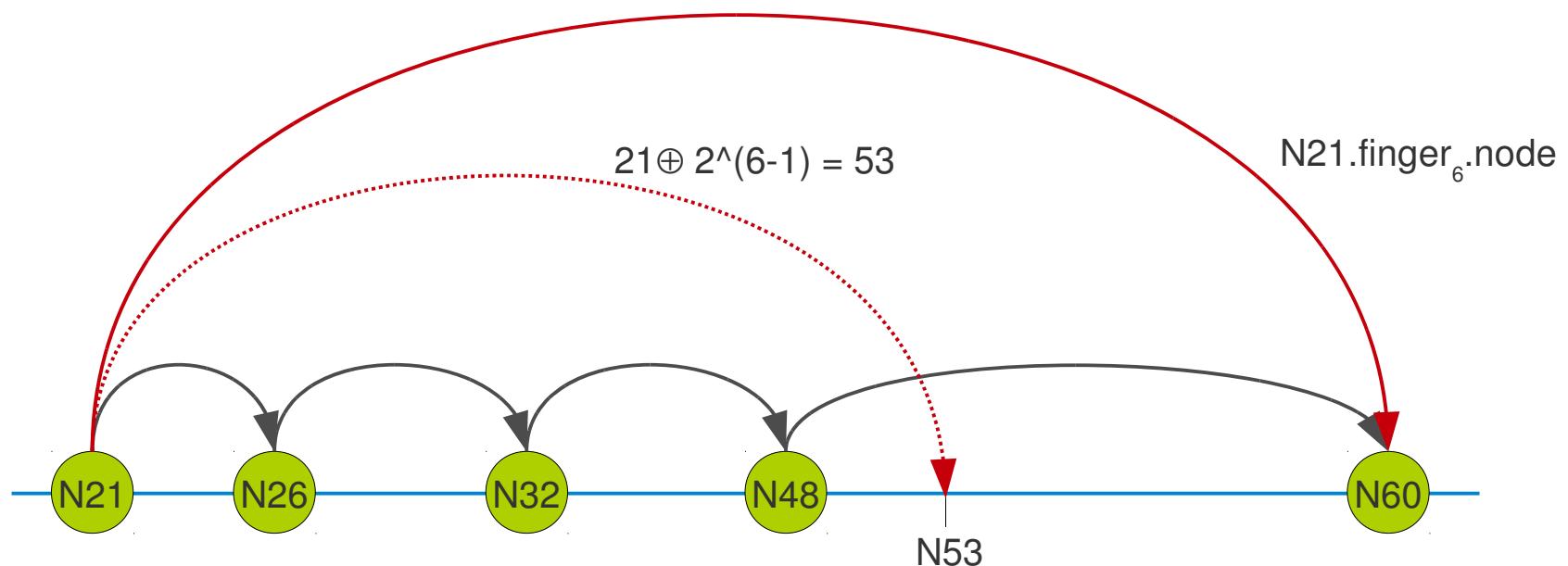
# Chord – Fix Fingers

- Periodically refresh finger table entries, and store the index of the next finger to fix.
- Local variable `next` initially is 0.

```
// When receiving notify(p) at n:  
procedure n.fixFingers() {  
    next := next+1  
    if (next > m) then  
        next := 1  
    finger[next] := findSuccessor(n ⊕ 2^(next - 1))  
}
```

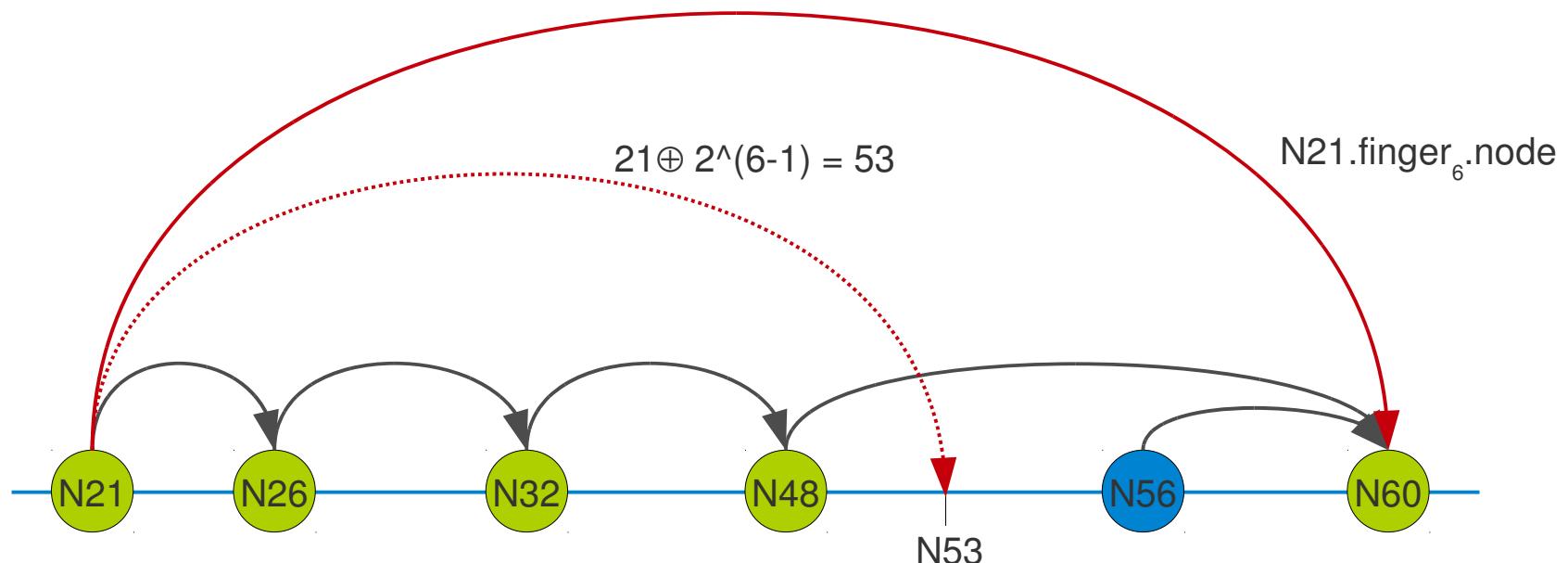
## Chord – Fix Fingers (1/4)

- Current situation:  $\text{succ}(N48)$  is  $N60$ .
- $\text{Succ}(21 \oplus 2^{(6-1)}) = \text{Succ}(53) = N60$ .



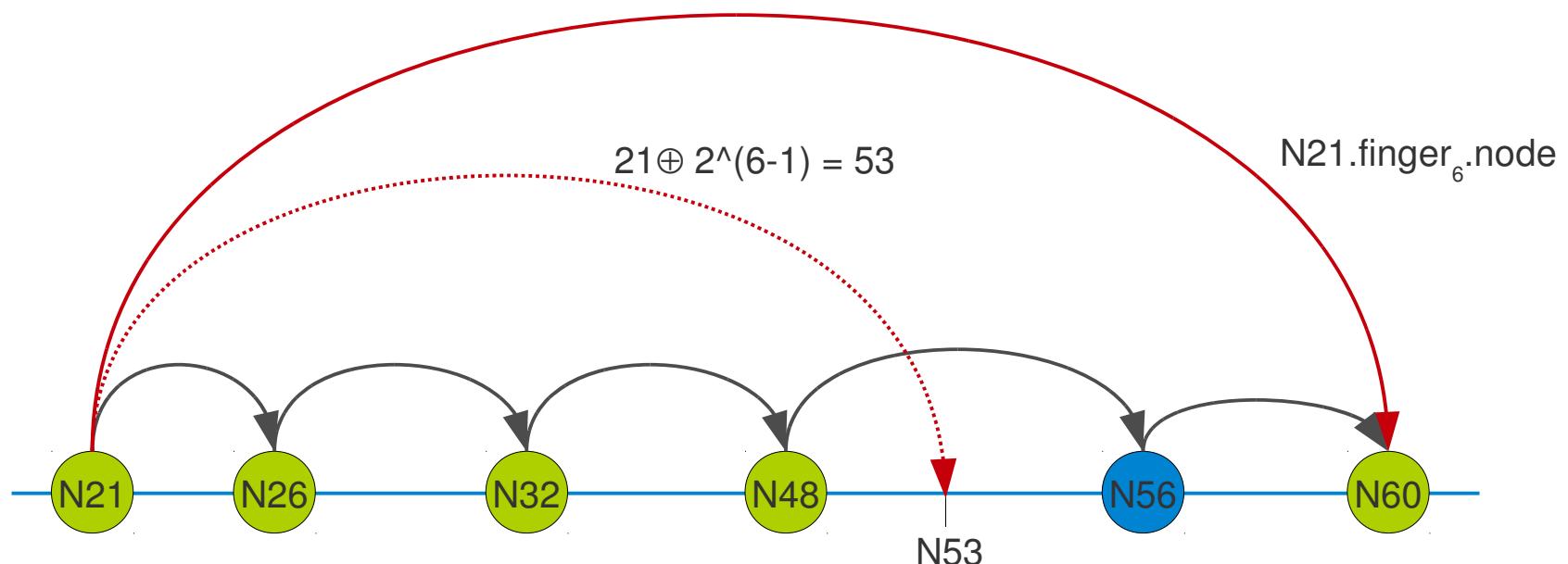
## Chord – Fix Fingers (2/4)

- $\text{Succ}(21 \oplus 2^{(6-1)}) = \text{Succ}(53) = ?$
- New node N56 joins and stabilizes successor pointer.
- Finger 6 of node N21 is wrong now.
- N21 eventually try to fix finger 6 by looking up 53 which stops at N48, however and nothing changes.



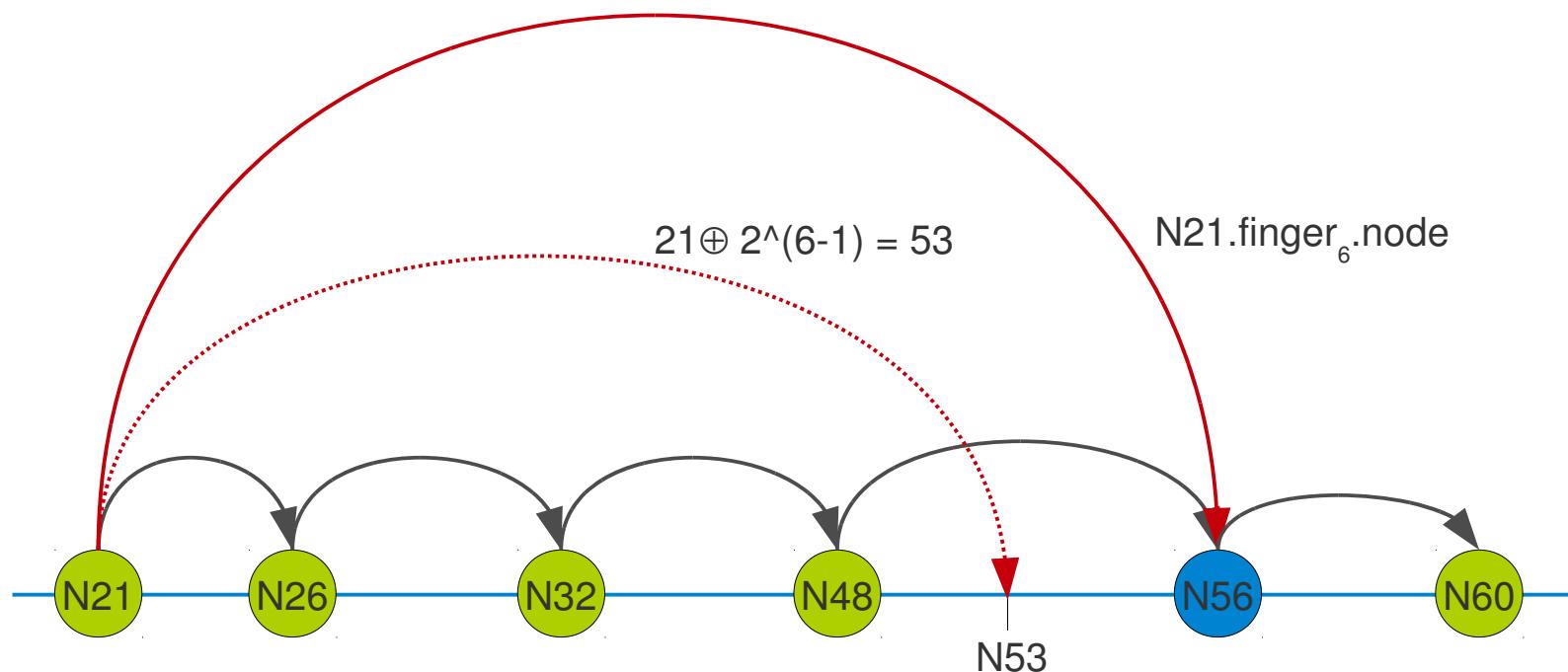
## Chord – Fix Fingers (3/4)

- $\text{Succ}(21 \oplus 2^{(6-1)}) = \text{Succ}(53) = ?$
- N48 will eventually stabilize its successor.
- This means the ring is correct now.



## Chord – Fix Fingers (4/4)

- $\text{Succ}(21 \oplus 2^{(6-1)}) = \text{Succ}(53) = \text{N56}$
- When N21 tries to fix Finger 6 again, this time the response from N48 will be correct and N21 corrects the finger.



# Handling Failure?



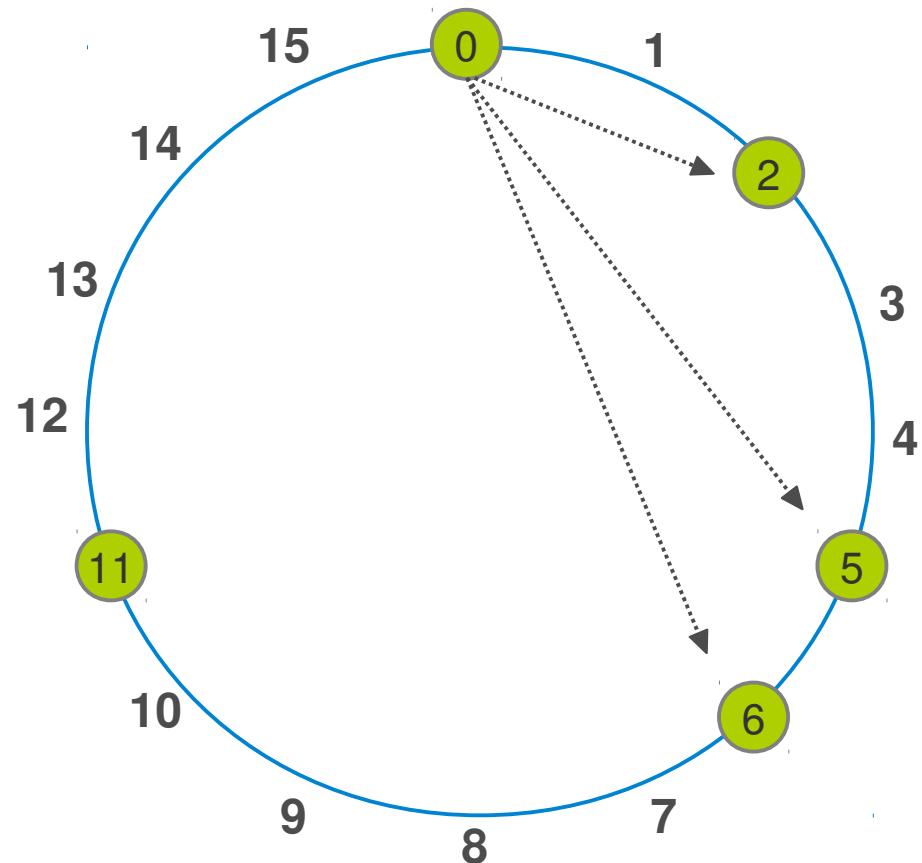
# Successor List

- A node has a **successors list** of size  $r$  containing the immediate  $r$  successors

- $\text{succ}(n+1)$
- $\text{succ}(\text{succ}(n+1)+1)$
- $\text{succ}(\text{succ}(\text{succ}(n+1)+1)+1)$

- How big should  $r$  be?

- $\log(N)$



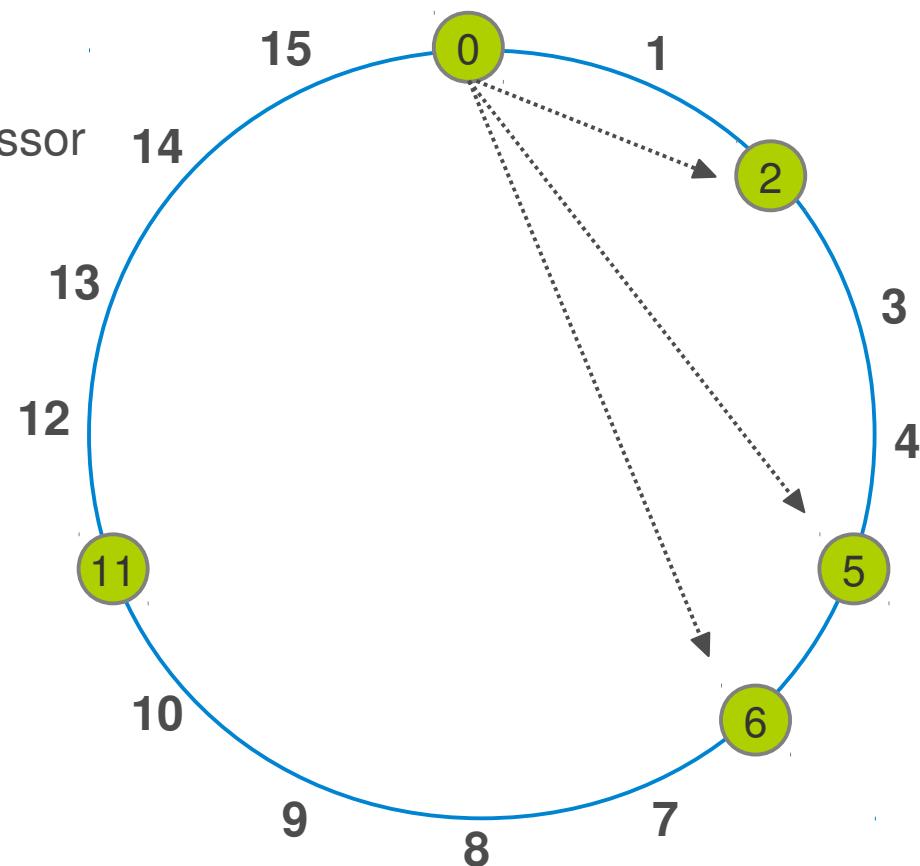
# Successor List ...

```
// join a Chord ring containing node m
procedure n.join(m) {
    pred := nil
    Succ := m.findSuccessor(n)
    updateSuccessorList(succ.successorList)
}
```

```
// Periodically at n
procedure n.stabilize() {
    succ := find first alive node in successor list
    v := succ.pred
    if (v ≠ nil and v ∈ (n,succ]) then
        set succ := v
        send a notify(n) to succ
        updateSuccessorList(succ.successorList)
}
```

# Dealing with Failures

- Periodic stabilization
- If successor fails
  - Replace with closest alive successor
- If predecessor fails
  - Set pred to nil

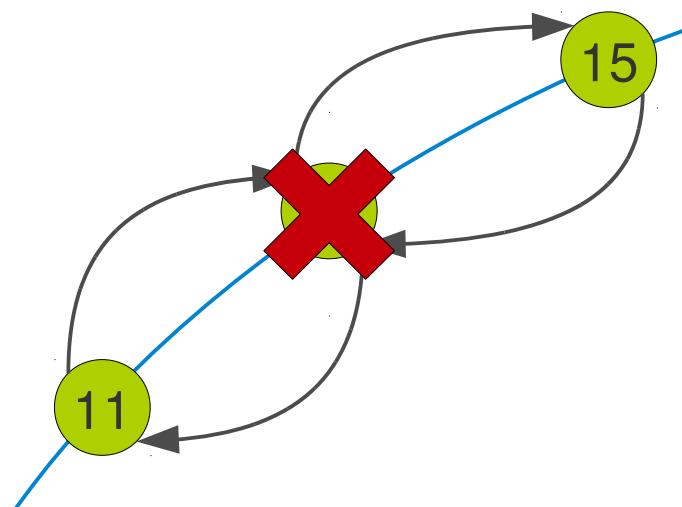


# Chord – Handling Failure (1/5)

- When  $n$  leaves Just disappear (like failure).
- When pred detected failed Set pred to nil.
- When succ detected failed Set succ to closest alive in successor list.

```
// Periodically at n:  
v := succ.pred  
if (v ≠ nil and v ∈ (n,succ]) then  
    set succ := v  
send a notify(n) to succ
```

```
// When receiving notify(p) at n:  
if (pred = nil or p ∈ (pred, n]) then  
    set pred := p
```



```
procedure n.checkPredecessor() {  
    if predecessor has failed then  
        predecessor := nil  
}
```

## Chord – Handling Failure (2/5)

- When  $n$  leaves Just disappear (like failure).
- When pred detected failed Set pred to nil.
- When succ detected failed Set succ to closest alive in successor list.

```
// Periodically at n:
```

```
v := succ.pred
```

```
if (v ≠ nil and v ∈ (n,succ]) then
```

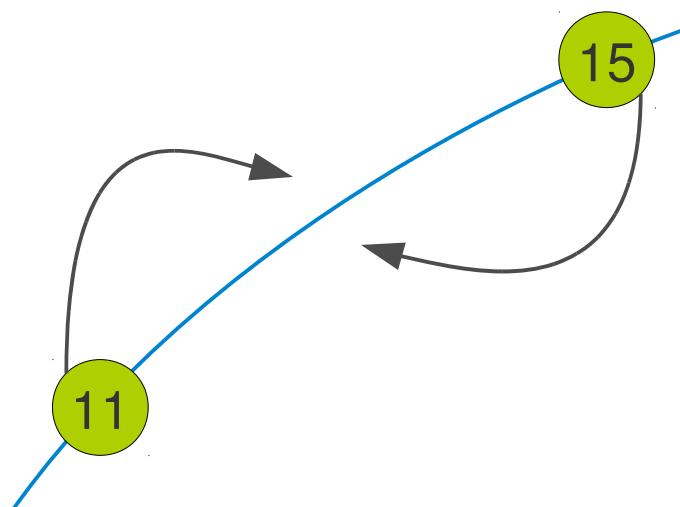
```
    set succ := v
```

```
send a notify(n) to succ
```

```
// When receiving notify(p) at n:
```

```
if (pred = nil or p ∈ (pred, n]) then
```

```
    set pred := p
```



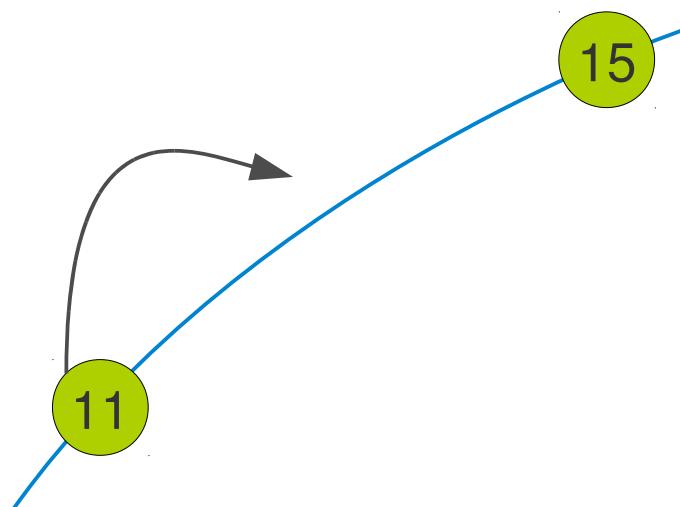
```
procedure n.checkPredecessor() {  
    if predecessor has failed then  
        predecessor := nil  
}
```

## Chord – Handling Failure (3/5)

- When n leaves Just disappear (like failure).
- When pred detected failed Set pred to nil.
- When succ detected failed Set succ to closest alive in successor list.

```
// Periodically at n:  
v := succ.pred  
if (v ≠ nil and v ∈ (n,succ]) then  
    set succ := v  
send a notify(n) to succ
```

```
// When receiving notify(p) at n:  
if (pred = nil or p ∈ (pred, n]) then  
    set pred := p
```



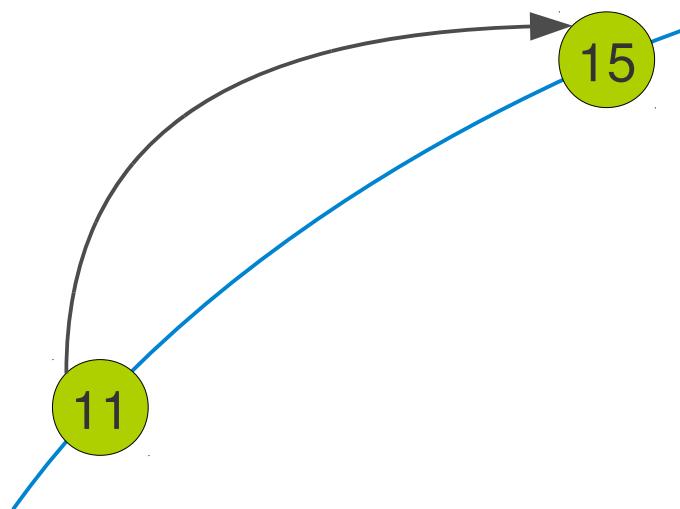
```
procedure n.checkPredecessor() {  
    if predecessor has failed then  
        predecessor := nil  
}
```

## Chord – Handling Failure (4/5)

- When  $n$  leaves Just disappear (like failure).
- When pred detected failed Set pred to nil.
- When succ detected failed Set succ to closest alive in successor list.

```
// Periodically at n:  
v := succ.pred  
if (v ≠ nil and v ∈ (n,succ]) then  
    set succ := v  
send a notify(n) to succ
```

```
// When receiving notify(p) at n:  
if (pred = nil or p ∈ (pred, n]) then  
    set pred := p
```



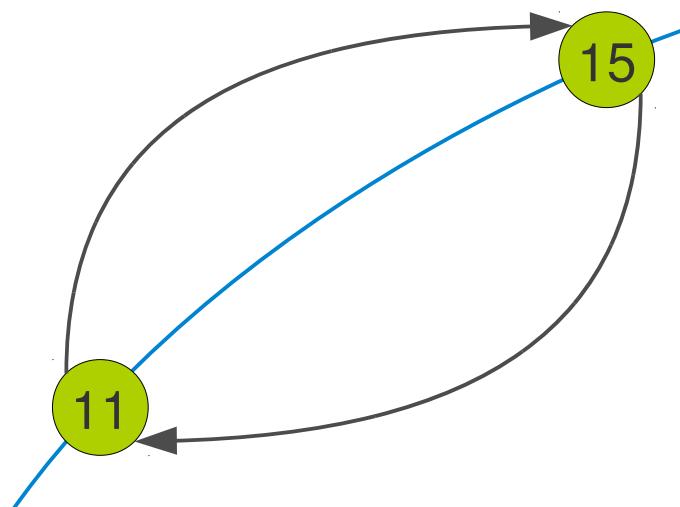
```
procedure n.checkPredecessor() {  
    if predecessor has failed then  
        predecessor := nil  
}
```

# Chord – Handling Failure (5/5)

- When  $n$  leaves Just disappear (like failure).
- When pred detected failed Set pred to nil.
- When succ detected failed Set succ to closest alive in successor list.

```
// Periodically at n:  
v := succ.pred  
if (v ≠ nil and v ∈ (n,succ]) then  
    set succ := v  
send a notify(n) to succ
```

```
// When receiving notify(p) at n:  
if (pred = nil or p ∈ (pred, n]) then  
    set pred := p
```



```
procedure n.checkPredecessor() {  
    if predecessor has failed then  
        predecessor := nil  
}
```

# Variations of Chord

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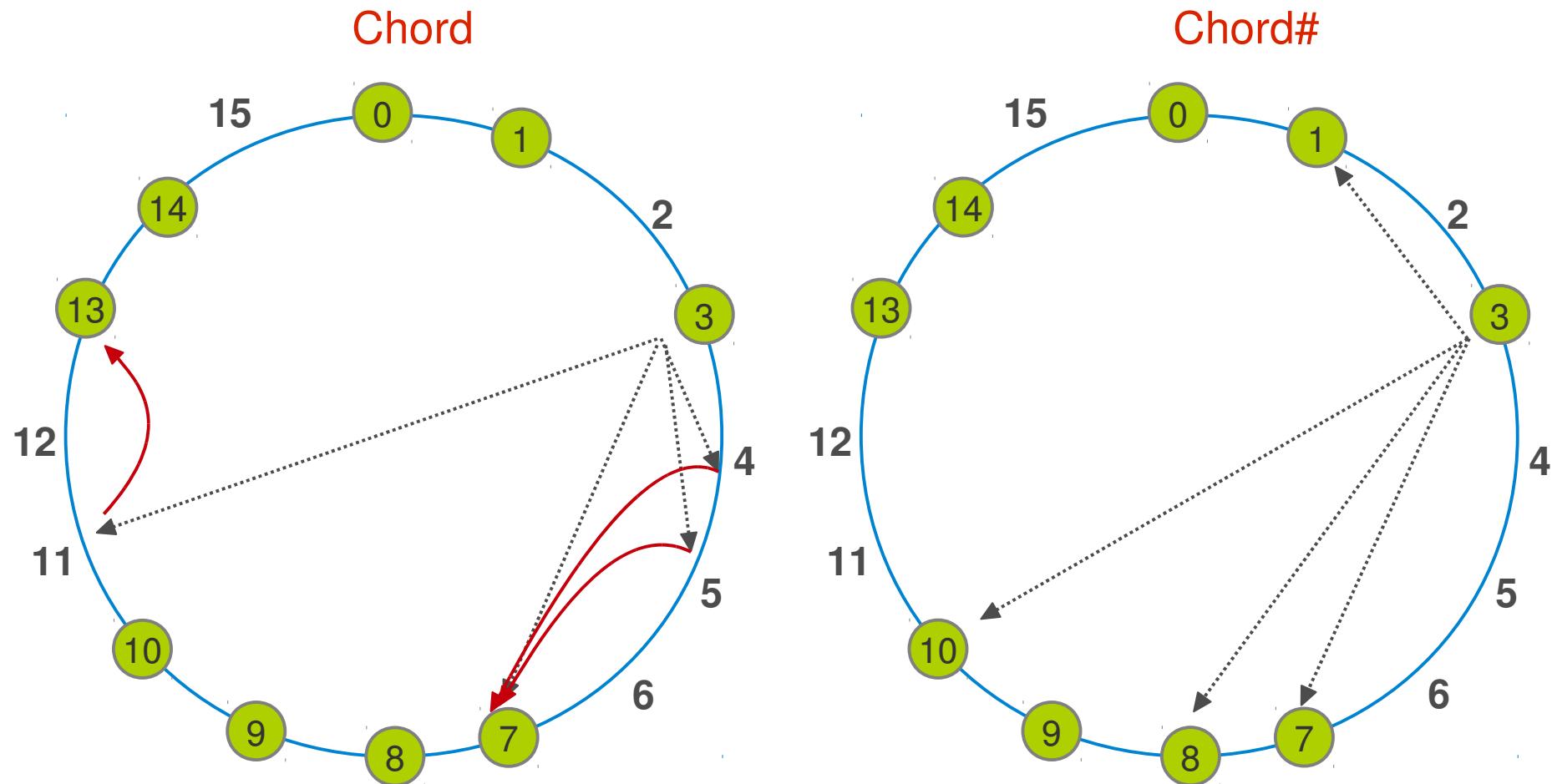
- Chord#
- DKS

## Chord#

- The routing table has exponentially increasing pointers on the ring (**node space**) and **NOT** the **identifier space**.

$$\text{pointer}_i = \begin{cases} \text{successor} & : i = 0 \\ \text{pointer}_{i-1} \cdot \text{pointer}_{i-1} & : i \neq 0 \end{cases}$$

# Chord vs. Chord#



# DKS

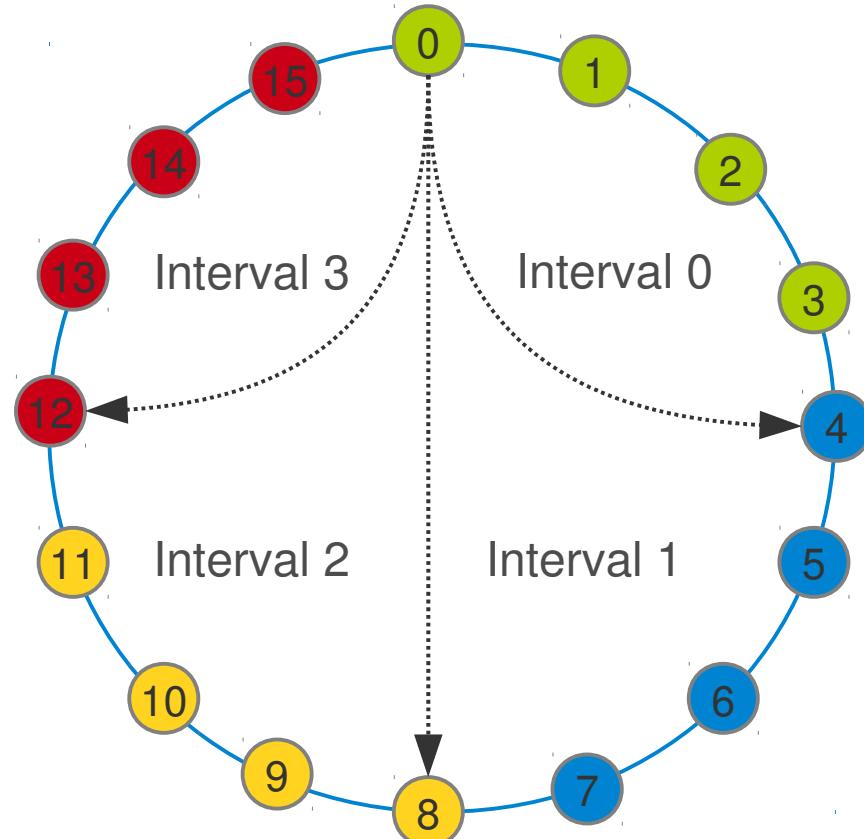
---

- Generalization of Chord to provide arbitrary **arity**
- Provide  $\log_k(n)$  hops per lookup
  - $k$  being a configurable parameter
  - $n$  being the number of nodes
- Instead of only  $\log_2(n)$

# DKS – Lookup

- Achieving  $\log_k(n)$  lookup
- Each node contains  $\log_k(N)=L$  levels,  $N=k^L$
- Each level contains  $k$  intervals,
- Example,  $k=4$ ,  $N=16$  ( $4^2$ ), node 0

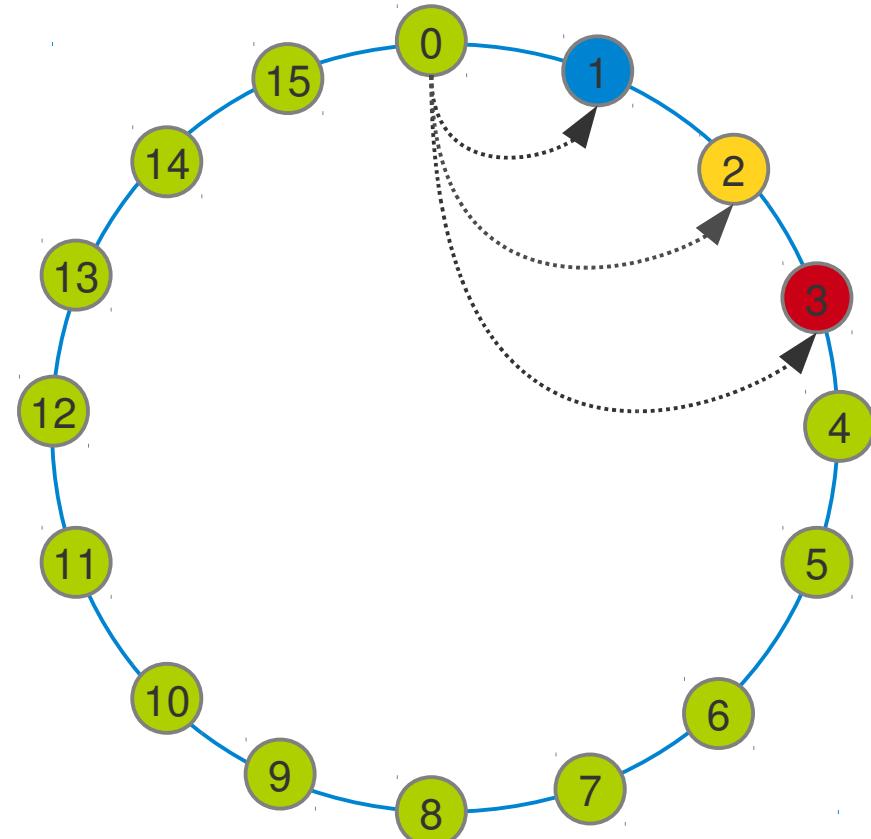
Node 0	I0	I1	I2	I3
Level 1	0 ... 3	4 ... 7	8 ... 11	12 ... 15



# DKS – Lookup

- Achieving  $\log_k(n)$  lookup
- Each node contains  $\log_k(N)=L$  levels,  $N=k^L$
- Each level contains  $k$  intervals,
- Example,  $k=4$ ,  $N=16$  ( $4^2$ ),  
node 0

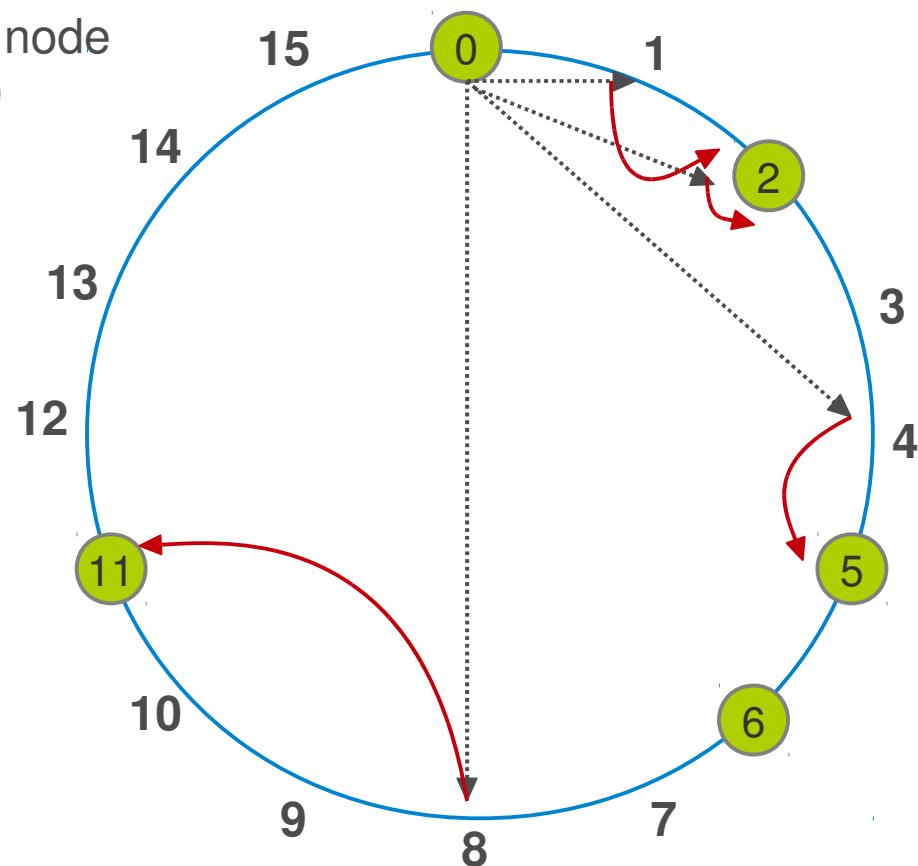
Node 0	I0	I1	I2	I3
Level 1	0 ... 3	4 ... 7	8 ... 11	12 ... 15
Level 2	0	1	2	3



# A Page to Remember

# A Page to Remember

- Pointer of the nodes:
  - Successor: first clockwise node
  - Predecessor: first anti-clockwise node
  - Finger list: successor( $n + 2^{(i-1)}$ )  
for  $i = 1 \dots M$  ( $N = 2^M$ ).
- Handling dynamism
  - Periodic stabilization
- Handling failure
  - Successor list
  - Periodic stabilization



# Question?